
**List of Brokers and Dealers with No Outstanding
Past-Due Share of the Broker-Dealer Accounting Support Fee**

Determination of Payment under PCAOB Rule 7104(b)

As of February 15, 2026

The following is a list of brokers and dealers that have been assessed and have no outstanding past-due share of the broker-dealer accounting support fee of the Public Company Accounting Oversight Board ("PCAOB"), as of February 15, 2026.^{1/}

A registered public accounting firm may take the inclusion of a broker or dealer on this list as an indication that the broker or dealer has no outstanding past-due share of the broker-dealer accounting support fee for purposes of PCAOB Rule 7104(b).

This list will be updated at least bi-weekly. If a registered public accounting firm cannot obtain a representation from the broker or dealer or cannot determine by reviewing the list below whether the broker or dealer has no past-due share of the accounting support fee outstanding, the registered public accounting firm may contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

If you believe a broker or dealer has been excluded from this list in error, please contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

Additional information about the broker-dealer accounting support fee and the funding process is available in the Frequently Asked Questions ("FAQs") available in the Accounting Support Fee section of the PCAOB's Web site (<http://pcaobus.org/About/Administration/SupportFee/Pages/BDSupportFeeFAQ.aspx>).

^{1/} Just because a broker or dealer does not appear on this list does not mean that the broker or dealer has outstanding a past-due share of the broker-dealer accounting support fee. Many "brokers" or "dealers," as such terms are defined in the Sarbanes-Oxley Act and the Board's rules, are not allocated a share of the broker-dealer accounting support fee under the Board's funding rules and therefore are not on this list even though they have outstanding no past-due share of the accounting support fee. In addition, payments are received periodically by the PCAOB and are not reflected on this list until it is next updated. For more information regarding the determination of payment of accounting support fees by registered accounting firms, please see rule 7104(b).

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of February 15, 2026

	* * *	
&PARTNERS	ALLSTATE FINANCIAL	APTO PARTNERS, LLC
2021 CAPITAL, LLC	SERVICES, LLC	AQR INVESTMENTS, LLC
280 SECURITIES LLC	ALLSTON TRADING LLC	AQUILO PARTNERS, L.P.
303 EQUITY TRADING GROUP	ALLY INVEST SECURITIES LLC	ARBOR RESEARCH &
II, LLC	ALLY SECURITIES LLC	TRADING, LLC
A. R. SCHMEIDLER & CO.,	ALPACA SECURITIES LLC	ARCA CAPITAL
INC.	ALPHA TRADING L.P.	INVESTMENTS, INC.
A.B. FINANCIAL L.L.C.	ALPINE SECURITIES	ARCHIPELAGO SECURITIES
A.G.P. / ALLIANCE GLOBAL	CORPORATION	L.L.C.
PARTNERS, LLC	ALTERNA SECURITIES, INC.	ARCHIPELAGO TRADING
AARDVARK SECURITIES LLC	ALTRUIST FINANCIAL LLC	SERVICES, INC.
ABBHEY NATIONAL SECURITIES	ALVAREZ & MARSAL	ARCOLA SECURITIES, INC.
INC.	SECURITIES, LLC	ARDEA PARTNERS LP
ABEL NOSER, LLC.	AMERANT INVESTMENTS,	ARES WEALTH
ABEL/NOSER CORP.	INC.	MANAGEMENT SOLUTIONS,
ABG SUNDAL COLLIER INC.	AMERICA'S GROWTH	LLC
ABN AMRO CLEARING USA	CAPITAL, LLC	ARIEL DISTRIBUTORS, LLC
LLC	AMERICAN CENTURY	ARTIST CAPITAL LLC
ABN AMRO SECURITIES (USA)	INVESTMENT SERVICES, INC.	ARVEST INVESTMENTS, INC.
LLC	AMERICAN ENTERPRISE	ARXIS SECURITIES LLC
ABSA SECURITIES U.S. INC.	INVESTMENT SERVICES INC.	ASCENSUS BROKER DEALER
ACADEMY SECURITIES, INC.	AMERICAN PORTFOLIOS	SERVICES, LLC
ACCESS SECURITIES, LLC	FINANCIAL SERVICES, INC.	ASHMORE INVESTMENT
ACORNS SECURITIES, LLC	AMERIPRISE FINANCIAL	MANAGEMENT (US)
ADELPHI STOCK BROKERS	SERVICES, LLC	CORPORATION
INC.	AMERITAS INVESTMENT	ASL CAPITAL MARKETS INC.
ADVISORS ASSET	COMPANY, LLC	ASPEN TRADING, L.L.C
MANAGEMENT, INC.	AMERIVET SECURITIES, INC.	ASSOCIATED INVESTMENT
AEGIS CAPITAL CORP.	AMHERST SECURITIES	SERVICES, INC.
AERIS PARTNERS LLC	GROUP, L.P.	ASSOCIATED OPTIONS, INC.
AIG FINANCIAL SECURITIES	AMS DERIVATIVES B.V.	ASSUREDPARTNERS
CORP.	AMUNDI DISTRIBUTOR US,	FINANCIAL SERVICES, LLC
AK CAPITAL LLC	INC.	ATHENE SECURITIES, LLC
AKUNA SECURITIES LLC	AMUNI FINANCIAL, INC.	ATLANTIC - PACIFIC CAPITAL,
ALADDIN CAPITAL LLC	ANGEL ISLAND CAPITAL	INC.
ALANTRA, LLC	SERVICES, LLC	ATLAS ONE FINANCIAL
ALLEN & COMPANY LLC	ANZ SECURITIES, INC.	GROUP, LLC
ALLIANCEBERNSTEIN	AON SECURITIES LLC	ATLAS TECHNOLOGY GROUP
INVESTMENTS, INC.	APERTURE, LLC	LLC
ALLIANZ LIFE FINANCIAL	APEX CLEARING	AUERBACH GRAYSON &
SERVICES, LLC	CORPORATION	COMPANY LLC
ALLSPRING FUNDS	APOLLO GLOBAL SECURITIES,	AURIGA USA, LLC
DISTRIBUTOR, LLC	LLC	

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of February 15, 2026

AUTOMATED TRADING DESK
BROKERAGE SERVICES, LLC
AUTONOMOUS RESEARCH
LLP
AVANTAX INVESTMENT
SERVICES, INC.
AVATAR CAPITAL GROUP LLC
AVATAR SECURITIES, LLC
AVM, L.P.
AXOS CLEARING LLC
B. C. ZIEGLER AND COMPANY
B. RILEY SECURITIES, INC.
B. RILEY WEALTH
MANAGEMENT, INC.
BAADER HELVEA INC.
BAKER TILLY CAPITAL, LLC
BALANCE SHEET SOLUTIONS
LLC
BANC OF AMERICA
SPECIALIST, INC.
BANCO DO BRASIL
SECURITIES LLC
BANCO VOTORANTIM
SECURITIES, INC.
BANCROFT CAPITAL, LLC
BANCWEST INVESTMENT
SERVICES, INC.
BANORTE SECURITIES
INTERNATIONAL LTD
BARCLAYS CAPITAL INC.
BARON CAPITAL, INC.
BB&T INVESTMENT
SERVICES, INC.
BB&T SECURITIES, LLC
BBVA COMPASS
INVESTMENT SOLUTIONS,
INC.
BBVA SECURITIES INC.
BBVA SECURITIES OF PUERTO
RICO, INC.
BC PARTNERS SECURITIES LLC
BCI SECURITIES, INC.
BCP SECURITIES, INC.
BCS AMERICAS, INC.

BD25 -CHILIAN PARTNERS,
L.P.
BD25-HONEY BADGER
INVESTMENT SECURITIES,
LLC
BDT & MSD PARTNERS, LLC
BEDROK SECURITIES LLC
BELLE HAVEN INVESTMENTS,
L.P.
BELVEDERE TRADING LLC
BENCHMARK SECURITIES,
LLC
BENGAL CAPITAL TRADING
LLC
BENGAL CAPITAL, LLC
BENJAMIN F. EDWARDS &
COMPANY, INC.
BERENBERG CAPITAL
MARKETS LLC
BERENSON & COMPANY, LLC
BERKSHIRE GLOBAL
ADVISORS LP
BERNARD HEROLD & CO.,
INC.
BERNSTEIN INSTITUTIONAL
SERVICES LLC
BESTEX RESEARCH
SECURITIES, LLC
BETA CAPITAL SECURITIES
LLC
BETHESDA SECURITIES, LLC
BEXIL SECURITIES LLC
BGC FINANCIAL, L.P.
BIDS TRADING L.P.
BLACKARCH SECURITIES LLC
BLACKROCK CAPITAL
MARKETS, LLC
BLACKROCK EXECUTION
SERVICES
BLACKROCK FUND
DISTRIBUTION COMPANY
BLACKROCK INVESTMENTS,
LLC

BLACKSTONE SECURITIES
PARTNERS L.P.
BLAYLOCK VAN, LLC
BLOOMBERG TRADEBOOK
LLC
BLUE CAPITAL GROUP LLC
BLUE FIRE CAPITAL, LLC
BLUEFIN CAPITAL
MANAGEMENT, LLC
BMO CAPITAL MARKETS
CORP.
BMO CAPITAL MARKETS
GKST INC.
BMO HARRIS FINANCIAL
ADVISORS, INC.
BMO INVESTMENT
DISTRIBUTORS, LLC
BMO NESBITT BURNS
TRADING CORP. S.A.
BNP PARIBAS PRIME
BROKERAGE, INC.
BNP PARIBAS SECURITIES
CORP.
BNY MELLON CAPITAL
MARKETS, LLC
BNY MELLON SECURITIES
CORPORATION
BOENNING &
SCATTERGOOD, INC.
BOFA DISTRIBUTORS, INC.
BOFA SECURITIES PRIME,
INC.
BOFA SECURITIES, INC.
BOK FINANCIAL SECURITIES,
INC.
BOLTON GLOBAL CAPITAL
BONDS.COM, INC.
BOREAL CAPITAL SECURITIES
LLC
BOSTON PARTNERS
SECURITIES, L.L.C.
BOUSTEAD SECURITIES, LLC
BRADESCO SECURITIES, INC.
BRANDT EQUITIES LLC

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BRASIL PLURAL SECURITIES
LLC
BRAVER STERN SECURITIES
LLC
BREAN CAPITAL, LLC
BREX TREASURY LLC
BRICKELL GLOBAL MARKETS,
INC.
BRIGHT TRADING, LLC
BRIGHTHOUSE SECURITIES,
LLC
BROADHAVEN SECURITIES
LLC
BROADRIDGE BUSINESS
PROCESS OUTSOURCING, LLC
BROKERTEC AMERICAS LLC
BROOKFIELD OAKTREE
WEALTH SOLUTIONS LLC
BROOKFIELD PRIVATE
ADVISORS LLC
BROOKFIELD SECURITIES LLC
BROWN, GIBBONS, LANG &
COMPANY SECURITIES, LLC
BROWNSTONE INVESTMENT
GROUP, LLC
BTG PACTUAL US CAPITAL,
LLC
BTIG, LLC
BUCKLER SECURITIES LLC
BUILDER ADVISOR GROUP,
LLC
BURKE & QUICK PARTNERS
LLC
BYRD TRADING, LLC
C&C TRADING L.L.C.
C. L. KING & ASSOCIATES,
INC.
C6 CAPITAL SECURITIES LLC
CABRERA CAPITAL MARKETS,
LLC
CAIN BROTHERS &
COMPANY, LLC
CAIS CAPITAL LLC

CALAMOS FINANCIAL
SERVICES LLC
CALVERT INVESTMENT
DISTRIBUTORS, INC.
CAMBRIDGE INTERNATIONAL
SECURITIES, INC.
CAMBRIDGE INVESTMENT
RESEARCH, INC.
CAMPBELL LUTYENS & CO.
INC.
CANACCORD GENUITY LLC
CANACCORD GENUITY
SECURITIES LLC
CANACCORD WEALTH
MANAGEMENT (USA) INC.
CANTOR FITZGERALD & CO.
CAPITAL BROKERAGE
CORPORATION
CAPITAL CLIENT GROUP, INC.
CAPITAL INSTITUTIONAL
SERVICES, INC.
CAPITAL ONE INVESTING, LLC
CAPITAL ONE SECURITIES,
INC.
CAPSTONE CAPITAL
MARKETS LLC
CARBON REDUCTION
CAPITAL, LLC
CARILLON FUND
DISTRIBUTORS, INC.
CARTA CAPITAL MARKETS,
LLC
CARTY, HARDING & HEARN,
INC.
CASGRAIN & COMPANY
(USA) LIMITED
CASTLEOAK SECURITIES, LP
CBOE FIXED INCOME
MARKETS, LLC
CBOE TRADING, INC.
CBRE CAPITAL ADVISORS,
INC.
CCA CAPITAL LLC

CCB INTERNATIONAL
OVERSEAS (USA) INC.
CCS TRANSACTIONS, LLC
CENTAURUS FINANCIAL, INC.
CENTERVIEW PARTNERS LLC
CETERA ADVISORS LLC
CETERA FINANCIAL
SPECIALISTS LLC
CETERA INVESTMENT
SERVICES LLC
CETERA WEALTH SERVICES,
LLC
CF SECURED, LLC
CGIS SECURITIES LLC
CHAMPLAIN ADVISORS, LLC
CHARDAN CAPITAL MARKETS
LLC
CHARLES RIVER BROKERAGE,
LLC
CHARLES SCHWAB & CO.,
INC.
CHASE INVESTMENT
SERVICES CORP.
CHICAGO CAPITAL
MANAGEMENT, L.P.
CHIMERA SECURITIES, LLC
CHINA RENAISSANCE
SECURITIES (US) INC.
CHOPPER SECURITIES, LLC
CIBC WORLD MARKETS
CORP.
CICC US SECURITIES, INC.
CIMB SECURITIES (USA), INC.
CITADEL CLEARING LLC
CITADEL SECURITIES
INSTITUTIONAL LLC
CITADEL SECURITIES LLC
CITI ORDER ROUTING AND
EXECUTION, LLC
CITIC SECURITIES
INTERNATIONAL USA, LLC
CITICORP SECURITIES
SERVICES, INC.

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CITIGROUP DERIVATIVES MARKETS INC.	COLLINS/BAY ISLAND SECURITIES, LLC	CREDIT AGRICOLE SECURITIES (USA) INC.
CITIGROUP GLOBAL MARKETS INC.	COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC.	CREDIT SUISSE CAPITAL LLC
CITIZENS CAPITAL MARKETS, INC.	COMERICA SECURITIES,INC.	CREDIT SUISSE PRIME SECURITIES SERVICES (USA) LLC
CITIZENS JMP SECURITIES, LLC	COMHAR CAPITAL MARKETS, LLC	CREDIT SUISSE PRIVATE ADVISORS AG
CITIZENS SECURITIES, INC.	COMMERZ MARKETS LLC	CREDIT SUISSE SECURITIES (USA) LLC
CITY NATIONAL SECURITIES, INC.	COMMONFUND SECURITIES, INC.	CREWS & ASSOCIATES, INC.
CITY SECURITIES CORPORATION	COMMONWEALTH	CRITICAL TRADING, LLC
CLARK SECURITIES, INC.	AUSTRALIA SECURITIES LLC	CRITICALPOINT PARTNERS LLC
CLARKSON CAPITAL MARKETS LLC	COMMONWEALTH EQUITY SERVICES, LLC	CROFT & BENDER, LP
CLARKSONS SECURITIES, INC.	COMPASS POINT RESEARCH & TRADING, LLC	CROSSTREE CAPITAL SECURITIES, LLC
CLASS VI SECURITIES, LLC	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	CROWELL, WEEDON & CO.
CLEAR STREET LLC	CONTINUITY PARTNERS GROUP, LLC	CRT CAPITAL GROUP LLC
CLEARPOOL EXECUTION SERVICES, LLC	COREBRIDGE CAPITAL SERVICES, INC.	CSCA CAPITAL ADVISORS, LLC
CLEARY GULL INC.	CORMARK SECURITIES (USA) LIMITED	CSS, LLC
CLEVELAND RESEARCH COMPANY LLC	CORNERSTONE MACRO LLC	CT COLLATERAL FUNDING, LLC
CLIFTONLARSONALLEN WEALTH ADVISORS, LLC	CORNERSTONE TRADING, LLC	CTC, LLC
CLSA AMERICAS, LLC	CORTVIEW CAPITAL SECURITIES LLC	CUNA BROKERAGE SERVICES, INC.
CMCJL, LLC	COUNTRY CLUB FINANCIAL SERVICES, INC.	CURIAN CLEARING, LLC
CME BD SERVICES, INC.	COWEN AND COMPANY, LLC	CURTISWOOD CAPITAL, LLC
CMG SECURITIES LLC	COWEN EQUITY FINANCE LP	CURVATURE SECURITIES LLC
CMT TRADING LLC	COWEN EXECUTION SERVICES LLC	CUTLER GROUP, LLC
CMZ TRADING, LLC	COWEN PRIME SERVICES LLC	CUTWATER ASSET MANAGEMENT CORP.
COASTAL SECURITIES, INC.	CP COGENT SECURITIES LP	CVC FUNDING, LLC
COBRA TRADING, INC.	CRAIG-HALLUM CAPITAL GROUP LLC	CYNDX ADVISORS LLC
CODE ADVISORS LLC	CREDICORP CAPITAL LLC	D. BORAL CAPITAL LLC
COGNITIVE CAPITAL, LLC	CREDIT AGRICOLE	D.A. DAVIDSON & CO.
COHEN & COMPANY CAPITAL MARKETS, LLC	CHEUVREUX NORTH AMERICA, INC.	D.M. KELLY & COMPANY
COHEN & COMPANY SECURITIES, LLC		DAHLMAN ROSE & COMPANY, LLC
COHEN & STEERS SECURITIES, LLC		DAIWA CAPITAL MARKETS AMERICA INC.
COLLIERS SECURITIES LLC		DANSKE MARKETS INC.
		DASH FINANCIAL TECHNOLOGIES LLC

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DAVENPORT & COMPANY LLC	DRIVEWEALTH, LLC	EQUITABLE DISTRIBUTORS, LLC
DAVID LERNER ASSOCIATES, INC.	DRW EXECUTION SERVICES, LLC	EQUITEC PROPRIETARY MARKETS, LLC
DAVIS DISTRIBUTORS, LLC	DRW SECURITIES, L.L.C.	EQUITEC SPECIALISTS, LLC
DAVY SECURITIES	DUNDEE SECURITIES INC.	EQUITYZEN SECURITIES LLC
DAWSON JAMES SECURITIES, INC.	DV EQUITIES, LLC	ERNST & YOUNG CAPITAL ADVISORS, LLC
DB SECURITIES SERVICES NJ INC.	DV SECURITIES, LLC	ESL INVESTMENT SERVICES, LLC
DBO PARTNERS LLC	DVB CAPITAL MARKETS LLC	ESTRADA HINOJOSA & COMPANY, INC.
DBS VICKERS SECURITIES (USA) INC.	DWS DISTRIBUTORS, INC.	ETC BROKERAGE SERVICES, LLC
DCCA SECURITIES, LLC	DZ FINANCIAL MARKETS LLC	EVERCORE GROUP L.L.C.
DEALERWEB LLC	E*TRADE CLEARING LLC	EXANE, INC.
DELANEY EQUITY GROUP LLC	E*TRADE SECURITIES LLC	EXECUTION ACCESS, LLC
DELAWARE DISTRIBUTORS, L.P.	E.J. DE LA ROSA & CO., INC.	EXOTIX USA INC.
DELOITTE CORPORATE FINANCE LLC	EARLYBIRDCAPITAL, INC.	FACTSET DATA SYSTEMS, INC.
DESJARDINS SECURITIES INTERNATIONAL INC.	EASTDIL SECURED ADVISORS LLC	FAGENSON & CO., INC.
DEUTSCHE BANK SECURITIES INC.	EATON PARTNERS, LLC	FAIRMOUNT PARTNERS LP
DEVELOPMENT	EATON VANCE	FELTL & COMPANY
CORPORATION FOR ISRAEL	DISTRIBUTORS, INC.	FHN FINANCIAL SECURITIES CORP.
DIFFUSION MARKETS, L.L.C.	EBX LLC	FIDELITY BROKERAGE SERVICES LLC
DIGITAL BROKERAGE SERVICES LLC	ECHOTRADE LLC	FIDELITY DISTRIBUTORS COMPANY LLC
DIMENSION TRADING GROUP, LLC	EDGEMONT CAPITAL PARTNERS, L.P.	FIDELITY DISTRIBUTORS CORPORATION
DINOSAUR FINANCIAL GROUP, L.L.C	EDWARD D. JONES & CO., L.P.	FIDELITY PRIME FINANCING, LLC
DIRECT EDGE ECN LLC	EFG CAPITAL	FIFTH THIRD SECURITIES, INC.
DIRECTED SERVICES LLC	INTERNATIONAL CORP.	FIG PARTNERS, LLC
DOFT & CO., INC.	EG MARKET TECHNOLOGIES LLC	FINANCIAL NORTHEASTERN SECURITIES, INC.
DOMINARI SECURITIES LLC	ELECTRONIC TRANSACTION CLEARING, INC.	FIRST ALLIED SECURITIES, INC.
DOUGH LLC	ELEQUIN SECURITIES LLC	FIRST ANALYSIS SECURITIES CORPORATION
DOWLING & PARTNERS SECURITIES LLC	ELEVATION, LLC	FIRST BALLANTYNE, LLC
DREXEL HAMILTON, LLC	EMBED CLEARING LLC	
DRIEHAUS SECURITIES LLC	EMMET & CO, INC.	
DRIVEWEALTH	EMPIRICAL RESEARCH PARTNERS LLC	
INSTITUTIONAL LLC	EMPOWER FINANCIAL SERVICES, INC.	
	EPOCH SECURITIES, INC.	
	EQUATEX US INC	
	EQUILEND LLC	
	EQUITABLE ADVISORS, LLC	

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of February 15, 2026

FIRST BANKERS' BANC
SECURITIES, INC
FIRST BROKERS SECURITIES
LLC
FIRST CITIZENS CAPITAL
SECURITIES, LLC
FIRST CITIZENS INVESTOR
SERVICES, INC.
FIRST CITIZENS SECURITIES
CORPORATION
FIRST CLEARING, LLC
FIRST COMMAND
BROKERAGE SERVICES, INC.
FIRST DERIVATIVE TRADERS,
L.P.
FIRST EMPIRE SECURITIES,
INC.
FIRST HORIZON ADVISORS,
INC.
FIRST MANHATTAN
SECURITIES LLC
FIRST NATIONAL CAPITAL
MARKETS, INC.
FIRST NEW YORK SECURITIES
L.L.C.
FIRST PUBLIC, LLC
FIRST REPUBLIC SECURITIES
COMPANY, LLC
FIRST SOUTHWEST
COMPANY, LLC
FIRST TRUST PORTFOLIOS
L.P.
FIRST TRYON SECURITIES, LLC
FIRST WINSTON SECURITIES,
INC.
FIRSTRADE SECURITIES INC.
FIS BROKERAGE &
SECURITIES SERVICES LLC
FIXED INCOME CAPITAL
PARTNERS, LLC
FLAGSTAR ADVISORS INC
FLEXTRADE LLC
FLORIDA ATLANTIC
SECURITIES CORP.

FLOURISH FINANCIAL LLC
FLOW TRADERS U.S.
INSTITUTIONAL TRADING LLC
FLOW TRADERS U.S. LLC
FM PARTNERS HOLDINGS LLC
FMI CAPITAL ADVISORS, INC.
FMSBONDS, INC.
FMX EXECUTION, LLC
FOCALPOINT SECURITIES, LLC
FOLGER NOLAN FLEMING
DOUGLAS INCORPORATED
FOLIO INVESTMENTS, INC.
FORESTERS FINANCIAL
SERVICES, INC.
FORETHOUGHT
DISTRIBUTORS, LLC
FORGE MARKETS LLC
FORGE SECURITIES LLC
FOX RIVER EXECUTION
TECHNOLOGY, LLC
FRANKLIN DISTRIBUTORS,
LLC
FRANKLIN/TEMPLETON
DISTRIBUTORS, INC.
FREEDOM INVESTMENTS,
INC.
FROST BROKERAGE
SERVICES, INC.
FS INVESTMENT SOLUTIONS,
LLC
FSC SECURITIES
CORPORATION
FSP INVESTMENTS LLC
FT GLOBAL CAPITAL, INC.
FTI CAPITAL ADVISORS, LLC
FTP SECURITIES LLC
FUTU CLEARING INC
G-2 TRADING,LLC
G-BAR LIMITED
PARTNERSHIP
G-TRADE SERVICES LLC
G1 EXECUTION SERVICES, LLC
G2 TRADING LLC
GAGNON SECURITIES, LLC

GALAXY DIGITAL PARTNERS
LLC
GALLAGHER SECURITIES, INC
GAMMA SECURITIES, LLC
GARGOYLE STRATEGIC
INVESTMENTS L.L.C.
GBM INTERNATIONAL, INC.
GBS RETIREMENT SERVICES,
INC.
GDK, INC.
GE CAPITAL MARKETS, INC.
GENESIS CAPITAL, LLC
GEORGE K. BAUM &
COMPANY
GEORGESON SECURITIES
CORPORATION
GETCO EXECUTION SERVICES
LLC
GETCO SECURITIES, LLC
GFI SECURITIES LLC
GLEACHER & COMPANY
SECURITIES, INC.
GLICKENHAUS & CO.
GLOBAL ALTERNATIVE
INVESTMENT SERVICES, INC.
GLOBAL EXECUTION
BROKERS, LP
GLOBAL HUNTER SECURITIES,
LLC
GMP SECURITIES, LLC
GOLDMAN SACHS & CO. LLC
GOLDMAN SACHS
EXECUTION & CLEARING, L.P.
GOLDMAN SACHS FINANCIAL
MARKETS, L.P.
GORDON DYAL & CO., LLC
GP BULLHOUND INC.
GRAY WHALE CAPITAL, LLC
GREAT PACIFIC SECURITIES
GREAT POINT CAPITAL LLC
GREEN PIER FINTECH LLC
GREEN STREET ADVISORS,
LLC
GREENHILL & CO., LLC

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GREENSLEDGE CAPITAL
MARKETS LLC
GRIFFIN CAPITAL SECURITIES,
LLC
GRIFFIN FINANCIAL GROUP,
LLC
GRIFFITHS MCBURNEY CORP.
GROUP ONE TRADING LLC
GROVE POINT INVESTMENTS,
LLC
GTS EXECUTION SERVICES
LLC
GTS SECURITIES LLC
GUARDIAN INVESTOR
SERVICES LLC
GUGGENHEIM
DISTRIBUTORS, LLC
GUGGENHEIM FUNDS
DISTRIBUTORS, LLC
GUGGENHEIM INVESTOR
SERVICES, LLC
GUGGENHEIM SECURITIES,
LLC
GUZMAN & COMPANY
GWN SECURITIES INC.
GX2 SPREAD MARKETS, LLC
H2C SECURITIES INC.
HAITONG SECURITIES USA
LLC
HALES SECURITIES, LLC
HANCOCK WHITNEY
INVESTMENT SERVICES INC.
HANDELSBANKEN MARKETS
SECURITIES, INC.
HANSON MCCLAIN
RETIREMENT NETWORK, LLC
HANTZ FINANCIAL SERVICES,
INC.
HAPOALIM SECURITIES USA,
INC.
HARD EIGHT TRADING, LLC
HARDCASTLE TRADING USA
L.L.C.
HARRIS WILLIAMS LLC

HARTFORD FUNDS
DISTRIBUTORS, LLC
HAYWOOD SECURITIES (USA)
INC.
HBK GLOBAL SECURITIES L.P.
HEALTHCARE COMMUNITY
SECURITIES CORP.
HEFREN-TILLOTSON, INC.
HENNION & WALSH, INC.
HERBERT J. SIMS & CO, INC.
HFF SECURITIES L.P.
HIGHTOWER SECURITIES, LLC
HIIVE MARKETS LIMITED
HILLTOP SECURITIES INC.
HOLD BROTHERS CAPITAL
LLC
HOME FINANCIAL SERVICES,
INC.
HOULIHAN LOKEY ADVISORS,
LLC
HOULIHAN LOKEY CAPITAL,
INC.
HOVDE GROUP, LLC
HOWARD WEIL
INCORPORATED
HRT FINANCIAL LP
HSBC SECURITIES (USA) INC.
HUATAI SECURITIES (USA),
INC.
HUB INTERNATIONAL
INVESTMENT SERVICES INC.
HULL PARTNERS LLC
HUNT FINANCIAL
SECURITIES, LLC
HUNTINGTON SECURITIES,
INC.
IB CAPITAL LLC
IBERIA CAPITAL PARTNERS
L.L.C.
IBKR SECURITIES SERVICES
LLC
IBS HOLDING CORPORATION
ICAPITAL MARKETS LLC

ICBC STANDARD SECURITIES
INC.
ICE BONDS SECURITIES
CORPORATION
ICE SECURITIES EXECUTION &
CLEARING, LLC
ICM SECURITIES, LLC
ICR CAPITAL LLC
IDB CAPITAL CORP.
IEX SERVICES LLC
IFC HOLDINGS, INC.
IMA WEALTH, INC.
IMC EXECUTION SERVICES
LLC
IMC SECURITIES LLC
IMC-CHICAGO, LLC
IMPERIAL CAPITAL, LLC
INCENTER SECURITIES
GROUP LLC
INCENTRUM SECURITIES, LLC
INDEPENDENCE POINT
SECURITIES LLC
INDEPENDENT FINANCIAL
GROUP, LLC
INDUSTRIAL AND
COMMERCIAL BANK OF
CHINA FINANCIAL SERVICES
LLC
ING FINANCIAL MARKETS LLC
INGALLS & SNYDER, LLC
INSIGNEO INTERNATIONAL
FINANCIAL SERVICES LLC
INSIGNEO SECURITIES, LLC
INSPEREX LLC
INSTITUTIONAL CASH
DISTRIBUTORS, LLC
INTELLIGENT CROSS LLC
INTERACTIVE BROKERS
CORP.
INTERACTIVE BROKERS LLC
INTERCOASTAL CAPITAL
MARKETS, INC.
INTERNATIONAL STRATEGY &
INVESTMENT GROUP LLC

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of February 15, 2026

INTESA SANPAOLO IMI
SECURITIES CORP.
INTL FILLMORE ADVISORS
LLC
INTREPID INVESTMENT
BANKERS LLC
INTREPID PARTNERS, LLC
INVEMED ASSOCIATES LLC
INVESCO CAPITAL MARKETS,
INC.
INVESCO DISTRIBUTORS, INC.
INVESTACORP, INC.
INVESTEC SECURITIES (US)
LLC
INVESTMENT CENTERS OF
AMERICA, INC.
INVESTMENT DISTRIBUTORS,
INC.
INVESTMENT PLACEMENT
GROUP
INVEX, LLC.
ITAU BBA USA SECURITIES,
INC.
ITAU INTERNATIONAL
SECURITIES INC.
IVY DISTRIBUTORS, INC.
J. WOOD CAPITAL ADVISORS
LLC
J.J.B. HILLIARD, W.L. LYONS,
LLC
J.P. MORGAN CLEARING
CORP.
J.P. MORGAN INSTITUTIONAL
INVESTMENTS INC.
J.P. MORGAN PRIME INC.
J.P. MORGAN SECURITIES LLC
J.W. COLE FINANCIAL, INC.
JACKSON NATIONAL LIFE
DISTRIBUTORS LLC
JAG TRADING L.L.C.
JANE STREET CAPITAL, LLC
JANE STREET EXECUTION
SERVICES, LLC
JANE STREET MARKETS, LLC

JANNEY MONTGOMERY
SCOTT LLC
JANUS HENDERSON
DISTRIBUTORS US LLC
JEFFERIES BACHE
SECURITIES, LLC
JEFFERIES EXECUTION
SERVICES, INC.
JEFFERIES HIGH YIELD
TRADING, LLC
JEFFERIES LLC
JOE JOLLY & CO., INC.
JOHN HANCOCK
DISTRIBUTORS LLC
JOHN HANCOCK
INVESTMENT MANAGEMENT
DISTRIBUTORS LLC
JOHNSON RICE & COMPANY
L.L.C.
JONES LANG LASALLE
SECURITIES, LLC
JONESTRADING
INSTITUTIONAL SERVICES LLC
JPMORGAN DISTRIBUTION
SERVICES, INC.
JUMP EXECUTION, LLC
JUMP TRADING, LLC
JVB FINANCIAL GROUP, LLC
JWTT INC.
KBC SECURITIES USA LLC
KEEFE, BRUYETTE & WOODS,
INC.
KEPLER CAPITAL MARKETS,
INC.
KEY INVESTMENT SERVICES
LLC
KEYBANC CAPITAL MARKETS
INC.
KGS-ALPHA CAPITAL
MARKETS, L.P.
KIPPSDESANTO & COMPANY
KKR CAPITAL MARKETS II LLC
KKR CAPITAL MARKETS LLC

KMS FINANCIAL SERVICES,
INC.
KNIGHT CAPITAL AMERICAS,
L.P.
KOYOTE TRADING LLC
KPMG CORPORATE FINANCE
LLC
KROLL SECURITIES LLC
L.J. HART AND COMPANY
L.M. KOHN & COMPANY
LADDER CAPITAL SECURITIES
LLC
LADENBURG THALMANN &
CO. INC.
LAFISE SECURITIES LLC
LAKE STREET CAPITAL
MARKETS, LLC
LAKESHORE SECURITIES, L.P.
LARRAINVIAL SECURITIES US
LLC
LASALLE INVESTMENT
MANAGEMENT
DISTRIBUTORS, LLC
LATOUR TRADING LLC
LAVAFLOW, INC.
LAZARD FRERES & CO. LLC
LAZARD MIDDLE MARKET LLC
LEERINK PARTNERS LLC
LEK SECURITIES
CORPORATION
LEONIS SECURITIES, LLC
LEVEL MARKETS, LLC
LFT SECURITIES, LLC
LIFESCI CAPITAL, LLC
LIGHTSPEED FINANCIAL
SERVICES GROUP LLC
LIME TRADING CORP
LINCOLN FINANCIAL
DISTRIBUTORS, INC.
LINCOLN INTERNATIONAL
LLC
LINCOLN INVESTMENT
PLANNING, LLC
LIONTREE ADVISORS LLC

Public Company Accounting Oversight Board
Brokers and Dealers With No Outstanding
Past-Due Share as of February 15, 2026

LIQUIDITYEDGE, LLC.
LIQUIDNET, INC.
LIQUIDPOINT, LLC
LLOYDS SECURITIES INC.
LOEB PARTNERS
CORPORATION
LOMBARD ODIER
TRANSATLANTIC LIMITED
LOOP CAPITAL MARKETS LLC
LORD ABBETT DISTRIBUTOR
LLC
LPL FINANCIAL LLC
LUMA SECURITIES LLC
LUMENT SECURITIES, LLC
LUPO SECURITIES, LLC
M&I FINANCIAL ADVISORS,
INC.
M&T SECURITIES, INC.
M1 FINANCE LLC
M3 INVESTMENT GROUP LLC
MACQUARIE CAPITAL
MARKETS NORTH AMERICA
LTD.
MACRO RISK ADVISORS LLC
MAN INVESTMENTS INC.
MANULIFE JOHN HANCOCK
BROKERAGE SERVICES LLC
MAPLE SECURITIES U.S.A.
INC.
MARATHON CAPITAL
MARKETS, LLC
MAREX CAPITAL MARKETS
INC.
MAREX NORTH AMERICA
SECURITIES LLC
MARIVA CAPITAL MARKETS,
LLC
MARKETAXESS
CORPORATION
MARKS BAUGHAN
SECURITIES LLC
MARSHBERRY CAPITAL, LLC.
MATTHEWS SOUTH, LLC

MAVEN GLOBAL MARKETS
TRADING LLP
MBS CAPITAL MARKETS, LLC
MBX CLEARING LLC
MCADAMS WRIGHT RAGEN,
INC.
MCAP LLC
MEDIOBANCA SECURITIES
USA LLC
MERCER ALLIED COMPANY,
L.P.
MERCHANT CAPITAL, L.L.C.
MERIDIAN CAPITAL LLC
MERRILL LYNCH FINANCIAL
MARKETS, INC.
MERRILL LYNCH
PROFESSIONAL CLEARING
CORP.
MERRILL LYNCH, PIERCE,
FENNER & SMITH
INCORPORATED
MERUS CAPITAL PARTNERS,
LLC
MESIROW FINANCIAL, INC.
METLIFE INVESTORS
DISTRIBUTION COMPANY
METRIC POINT CAPITAL, LLC
MFR SECURITIES, INC.
MFS FUND DISTRIBUTORS,
INC.
MICROVENTURE
MARKETPLACE INC.
MIDDLEGATE SECURITIES
INC.
MIDLAND SECURITIES, LTD
MILLBURN RIDGEFIELD LLC
MILLCO ADVISORS, LP
MILLENNIUM ADVISORS, LLC
MILLER BUCKFIRE & CO., LLC
MILLER TABAK + CO., LLC
MINT BROKERS
MIRAE ASSET SECURITIES
(USA) INC.

MIRAE ASSET WEALTH
MANAGEMENT (USA) INC.
MISCHLER FINANCIAL
GROUP, INC.
MITCHELL ENERGY
ADVISORS, LLC
MIURA CAPITAL, LLC
MIZUHO SECURITIES
CANADA INC.
MIZUHO SECURITIES USA LLC
MKM PARTNERS LLC
MMA SECURITIES LLC
MMC SECURITIES LLC
MML INVESTORS SERVICES,
LLC
MND PARTNERS, INC.
MOCHO TRADING LLC
MOELIS & COMPANY LLC
MOG CAPITAL L.L.C.
MOMENTUS SECURITIES LLC
MONEX SECURITIES, INC.
MONEY CONCEPTS CAPITAL
CORP
MONTEC SECURITIES LLC
MONTMINY SECURITIES, LLC
MOOMOO FINANCIAL INC.
MOORELAND PARTNERS LLC
MOORS & CABOT, INC.
MORGAN JOSEPH
TRIARTISAN LLC
MORGAN KEEGAN &
COMPANY, LLC
MORGAN STANLEY & CO. LLC
MORGAN STANLEY
DISTRIBUTION, INC.
MORGAN STANLEY SMITH
BARNEY LLC
MORNINGSTAR INVESTMENT
SERVICES LLC
MOTIF INVESTING, INC.
MOUNTAINSIDE SECURITIES
LLC
MOUNTAINVIEW
SECURITIES, LLC

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MPS GLOBAL SECURITIES, LLC
MS SECURITIES SERVICES
INC.
MSEC, LLC
MSI FINANCIAL SERVICES,
INC.
MTS SECURITIES, LLC
MUGF AMERICAS
CORPORATE ADVISORY, INC.
MUGF SECURITIES AMERICAS
INC.
MULTI-BANK SECURITIES,
INC.
MURIEL SIEBERT & CO., LLC
MUTUAL OF AMERICA LIFE
INSURANCE COMPANY
N. B. ZOULLAS SECURITIES,
INC.
N.A. INVESTCORP LLC
N.I.S. FINANCIAL SERVICES,
INC.
NABSECURITIES, LLC
NASDAQ EXECUTION
SERVICES, LLC
NASDAQ OPTIONS SERVICES,
LLC
NATALLIANCE SECURITIES,
LLC
NATHAN HALE CAPITAL, LLC
NATIONAL BANK OF CANADA
FINANCIAL INC.
NATIONAL FINANCIAL
SERVICES LLC
NATIONAL PLANNING
CORPORATION
NATIONAL SECURITIES
CORPORATION
NATIONWIDE FUND
DISTRIBUTORS LLC
NATIONWIDE SECURITIES,
LLC
NATIXIS DERIVATIVES INC.
NATIXIS DISTRIBUTION, LLC

NATIXIS SECURITIES
AMERICAS LLC
NATWEST MARKETS
SECURITIES INC.
NAVY FEDERAL INVESTMENT
SERVICES, LLC
NBC SECURITIES, INC.
NBF SECURITIES (USA) CORP.
NEEDHAM & COMPANY, LLC
NELNET CAPITAL LLC
NETREX CAPITAL MARKETS,
LLC
NETWORK 1 FINANCIAL
SECURITIES INC.
NEUBERGER BERMAN BD LLC
NEUBERGER BERMAN
MANAGEMENT LLC
NEW ENGLAND SECURITIES
CORPORATION
NEWEDGE SECURITIES, LLC
NEWEDGE USA, LLC
NEWPORT GROUP
SECURITIES, INC.
NEXBANK SECURITIES INC
NFSTX, LLC
NH INVESTMENT &
SECURITIES AMERICA, INC.
NOLITA CAPITAL, LLC
NOMURA GLOBAL FINANCIAL
PRODUCTS INC.
NOMURA GREENTECH
CAPITAL ADVISORS, LLC
NOMURA SECURITIES
INTERNATIONAL, INC.
NOMURA SECURITIES NORTH
AMERICA, LLC
NORDEA SECURITIES LLC
NORTH BRIDGE CAPITAL, LLC
NORTH POINT MERGERS
AND ACQUISITIONS, INC.
NORTHERN TRUST
SECURITIES, INC.
NORTHLAND SECURITIES,
INC.

NORTHSTAR SECURITIES, LLC
NORTHWESTERN MUTUAL
INVESTMENT SERVICES, LLC
NUMIS SECURITIES INC.
NUVEEN SECURITIES, LLC
NYLIFE DISTRIBUTORS LLC
NYLIFE SECURITIES LLC
O'NEIL SECURITIES
INCORPORATED
OASIS PRO MARKETS LLC
OBD SECURITIES LLC
OCTEG, LLC
ODDO BHF NEW YORK
CORPORATION
ODEON CAPITAL GROUP LLC
OHIO NATIONAL EQUITIES,
INC.
OLD MISSION CAPITAL, LLC
OLD MISSION MARKETS LLC
ONEAMERICA SECURITIES,
INC.
ONPEAK CAPITAL LLC
OPEN TO THE PUBLIC
INVESTING, INC.
OPENDOOR SECURITIES LLC
OPPENHEIMER & CO. INC.
OPPENHEIMERFUNDS
DISTRIBUTOR, INC.
OPTIONSHOUSE, LLC
OPTIONSPRESS, INC.
OPTIVER EXECUTION
SERVICES LLC
OPTIVER US LLC
ORIENTAL FINANCIAL
SERVICES LLC
OSAIC FA, INC.
OSAIC FS, INC.
OSAIC SERVICES, INC.
OSAIC WEALTH, INC.
OSCAR GRUSS & SON
INCORPORATED
OTA LLC
OTC LINK LLC
P.J. ROBB VARIABLE, LLC

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PACER FINANCIAL, INC.
PACIFIC CENTURY
SECURITIES, LLC
PACIFIC CREST SECURITIES
LLC
PACIFIC SELECT
DISTRIBUTORS, LLC
PALAFOX TRADING LLC
PARADIGM EQUITIES, INC.
PARETO SECURITIES INC.
PARIS SECURITIES
CORPORATION
PARK AVENUE SECURITIES
LLC
PARK HILL REAL ESTATE
GROUP LLC
PARK SUTTON SECURITIES,
LLC
PARKLAND SECURITIES, LLC
PAULSON INVESTMENT
COMPANY LLC
PAYCHEX SECURITIES
CORPORATION
PEAK6 CAPITAL
MANAGEMENT LLC
PEAK6 PERFORMANCE
MANAGEMENT LLC
PEAK6 VEGA MASTER FUND
LLC
PENSERRA SECURITIES, LLC
PENSON FINANCIAL
SERVICES, INC.
PEOPLE'S SECURITIES, INC.
PERELLA WEINBERG
PARTNERS LP
PERFORMANCE TRUST
CAPITAL PARTNERS, LLC
PERSHING ADVISOR
SOLUTIONS LLC
PERSHING LLC
PFM FUND DISTRIBUTORS,
INC.
PFS INVESTMENTS INC.
PGM GLOBAL INC.

PHILLIP CAPITAL INC.
PHOENIX DERIVATIVES
GROUP, LLC
PHX FINANCIAL, INC.
PICTET OVERSEAS INC.
PIMCO INVESTMENTS LLC
PIPELINE TRADING SYSTEMS
LLC
PIPER SANDLER & CO.
PJT PARTNERS LP
PLANMEMBER SECURITIES
CORPORATION
PMA SECURITIES, LLC
PNC CAPITAL MARKETS LLC
PNC INVESTMENTS LLC
POPULAR SECURITIES, LLC
PORTIGON SECURITIES INC.
POTAMUS TRADING, LLC
PRAGMA LLC
PRECISION SECURITIES, LLC
PREFERRED CAPITAL
SECURITIES, LLC
PRICEWATERHOUSECOOPE
RS CORPORATE FINANCE LLC
PRIME CAPITAL MARKETS,
LLC
PRIME DEALER SERVICES
CORP.
PRIMERICA BROKERAGE
SERVICES, INC.
PRINCIPAL FUNDS
DISTRIBUTOR, INC.
PRINCIPAL SECURITIES, INC.
PROBITAS FUNDS GROUP,
LLC
PROSPERA FINANCIAL
SERVICES, INC.
PRUCO SECURITIES, LLC.
PRUDENTIAL ANNUITIES
DISTRIBUTORS, INC
PRUDENTIAL INVESTMENT
MANAGEMENT SERVICES LLC
PTR, INC.
PUBLIC VENTURES, LLC

PULSE TRADING, INC.
PUNDION LLC
PURESTREAM, LLC
PUTNAM RETAIL
MANAGEMENT LIMITED
PARTNERSHIP
Q ADVISORS LLC
QATALYST PARTNERS LP
QUAD CAPITAL, LLC
QUAD SECURITIES LLC
QUANTITATIVE BROKERS, LLC
QUANTLAB SECURITIES LP
QUASAR DISTRIBUTORS, LLC
QUESTAR CAPITAL
CORPORATION
QUIET LIGHT SECURITIES, LLC
R. F. LAFFERTY & CO., INC.
R. SEELAUS & CO., LLC
R.J. O'BRIEN SECURITIES, LLC
R.W.PRESSPRICH & CO.
RABO SECURITIES USA, INC.
RAFFERTY CAPITAL
MARKETS, LLC
RAINE SECURITIES LLC
RAINIER SECURITIES, LLC
RAMAT SECURITIES LTD.
RAYMOND JAMES &
ASSOCIATES, INC.
RAYMOND JAMES (USA) LTD.
RAYMOND JAMES FINANCIAL
SERVICES, INC.
RAYMOND JAMES
INSURANCE GROUP, INC.
RB INTERNATIONAL
MARKETS (USA) LLC
RBC CAPITAL MARKETS, LLC
RBC CMA LTD
RCI LIMITED PARTNERSHIP
REALCADRE LLC
RED CEDAR TRADING, LLC
REDBIRD BD, LLC
REDBURN (USA) LLC
REDI GLOBAL TECHNOLOGIES
LLC

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REGAL SECURITIES, INC.	SABADELL SECURITIES USA, INC.	SECURITIES SERVICE NETWORK, LLC
REGIONS SECURITIES LLC	SAFRA SECURITIES LLC	SECURITY DISTRIBUTORS, LLC
RELATED FINANCIAL SERVICES, LLC	SAL EQUITY TRADING, GP	SEI INVESTMENTS DISTRIBUTION CO.
RENCAP SECURITIES, INC.	SAMCO CAPITAL MARKETS, INC.	SENTINEL FINANCIAL SERVICES COMPANY
REPUBLIC PARTNERS, LLC	SAMI BROKERAGE LLC	SENVEST INTERNATIONAL L.L.C.
RESOLUTE INVESTMENT DISTRIBUTORS, INC.	SAMMONS FINANCIAL NETWORK, LLC	SETTER CAPITAL INC.
REUTERS TRANSACTION SERVICES LLC	SAMSUNG SECURITIES (AMERICA), INC.	SEVEN POINTS CAPITAL, LLC
RGM SECURITIES, LLC	SANDERS MORRIS HARRIS INC.	SG AMERICAS SECURITIES, LLC
RIVERSOURCE DISTRIBUTORS, INC.	SANDLER, O'NEILL & PARTNERS, L.P.	SHAY FINANCIAL SERVICES, INC.
RIVERSTONE CAPITAL SERVICES LLC	SANFORD C. BERNSTEIN & CO., LLC	SHEA & COMPANY, LLC
ROBERT W. BAIRD & CO. INCORPORATED	SANTANDER INTERNATIONAL SECURITIES, INC.	SHEARMAN, RALSTON INC.
ROBINHOOD FINANCIAL, LLC	SANTANDER INVESTMENT SECURITIES INC.	SHELBY CULLOM DAVIS & CO., L.P.
ROBINHOOD SECURITIES, LLC	SANTANDER SECURITIES LLC	SHERMAN & COMPANY LLC
ROCKEFELLER FINANCIAL LLC	SBERBANK CIB USA, INC	SIEBERT WILLIAMS SHANK & CO., LLC
RODMAN & RENSHAW, LLC	SCHRODER FUND ADVISORS LLC	SIERRA PACIFIC SECURITIES, LLC
RONIN CAPITAL, LLC	SCOTIA CAPITAL (USA) INC.	SIGMA FINANCIAL CORPORATION
ROOSEVELT & CROSS, INCORPORATED	SCOTT & STRINGFELLOW, LLC	SIGNAL HILL CAPITAL GROUP LLC
ROSELAINE SECURITIES LLC	SCOTTRADE, INC.	SIGNATOR INVESTORS, INC.
ROSENBLATT SECURITIES INC.	SCOUT TRADING, LLC	SII INVESTMENTS, INC.
ROSENTHAL GLOBAL SECURITIES LLC	SEA OTTER SECURITIES GROUP LLC	SIMMONS & COMPANY INTERNATIONAL
ROSS, SINCLAIRE & ASSOCIATES, LLC	SEAPORT GLOBAL SECURITIES LLC	SIMMONS FIRST INVESTMENT GROUP, INC.
ROTH CAPITAL PARTNERS, LLC	SEAPORT SECURITIES CORP.	SIMON MARKETS LLC
ROTHSCHILD & CO US INC.	SEARLE & CO.	SIMPLEX TRADING, LLC
ROYCE FUND SERVICES, LLC	SEATTLE-NORTHWEST SECURITIES CORPORATION	SIXPOINT PARTNERS LLC
RQD* CLEARING, LLC	SEB SECURITIES, INC.	SMBC NIKKO SECURITIES AMERICA, INC.
RUANE, CUNNIFF & GOLDFARB LLC	SECURIAN FINANCIAL SERVICES, INC.	SMBC NIKKO SECURITIES CANADA, LTD.
RUSSELL INVESTMENTS FINANCIAL SERVICES, LLC	SECURITIES AMERICA, INC.	SOFI SECURITIES LLC
RUSSELL INVESTMENTS IMPLEMENTATION SERVICES, LLC	SECURITIES MANAGEMENT AND RESEARCH, INC.	SOLEBURY CAPITAL LLC

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SOLOMON PARTNERS
SECURITIES, LLC
SOUTH STREET SECURITIES
LLC
SOUTHSTATE|DUNCANWILLI
AMS SECURITIES CORP.
SPARTAN CAPITAL
SECURITIES, LLC
SPC CAPITAL MARKETS LLC
SPHINX TRADING, LP
SPIDERROCK EXS, LLC
SPOT TRADING L.L.C.
SPROTT GLOBAL RESOURCE
INVESTMENTS LTD
SPURRIER CAPITAL
PARTNERS LLC
SS&C MARKET SERVICES, LLC
STANDARD CHARTERED
SECURITIES NORTH AMERICA
LLC
STANDARD CREDIT
SECURITIES INC.
STANDARD NEW YORK, INC.
STASH CAPITAL LLC
STATE FARM VP
MANAGEMENT CORP.
STATE STREET GLOBAL
ADVISORS FUNDS
DISTRIBUTORS, LLC
STATE STREET GLOBAL
MARKETS, LLC
STEBEN & COMPANY, LLC
STEPHENS INC.
STERLING SMITH
CORPORATION
STERLING, GRACE
MUNICIPAL SECURITIES
CORPORATION
STERN BROTHERS & CO.
STERNE, AGEE & LEACH, INC.
STEWART PARTNERS
INVESTMENT SOLUTIONS,
LLC

STIFEL, NICOLAUS &
COMPANY, INCORPORATED
STOCKCROSS FINANCIAL
SERVICES, INC.
STOEVER, GLASS & COMPANY
INC.
STONE & YOUNGBERG LLC
STONEPEAK SECURITIES LLC
STONEX FINANCIAL INC.
STORMHARBOUR SECURITIES
LP
STOUT CAPITAL, LLC
STRATEGAS SECURITIES, LLC
STUDENT OPTIONS, LLC
SUMMIT BROKERAGE
SERVICES, INC.
SUMMIT SECURITIES GROUP
LLC
SUMRIDGE PARTNERS, LLC
SUN LIFE FINANCIAL
DISTRIBUTORS, INC.
SUNGARD INSTITUTIONAL
BROKERAGE INC.
SUNRISE BROKERS LLC
SUSQUEHANNA FINANCIAL
GROUP, LLLP
SUSQUEHANNA SECURITIES,
LLC
SVB INVESTMENT SERVICES
INC.
SVB SECURITIES, A NON-
BANK AFFILIATE OF SILICON
VALLEY BANK
SWBC INVESTMENT
SERVICES, LLC
SWEDBANK SECURITIES US,
LLC
SWENEY CARTWRIGHT &
COMPANY
SWISS RE CAPITAL MARKETS
CORPORATION
SYCAMORE FINANCIAL
GROUP
SYNOVUS SECURITIES, INC.

SYSTEMATIZED BENEFITS
ADMINISTRATORS, INC.
T. ROWE PRICE INVESTMENT
SERVICES, INC.
T.R. WINSTON & COMPANY,
LLC
T3 TRADING GROUP, LLC
TALCOTT RESOLUTION
DISTRIBUTION COMPANY,
INC.
TCBI SECURITIES, INC.
TCG CAPITAL MARKETS L.L.C.
TD AMERITRADE CLEARING,
INC.
TD AMERITRADE, INC.
TD ARRANGED SERVICES LLC
TD FINANCIAL PRODUCTS LLC
TD PRIME SERVICES LLC
TD PRIVATE CLIENT WEALTH
LLC
TD SECURITIES (USA) LLC
TEACHERS PERSONAL
INVESTORS SERVICES, INC.
TELEMETRY SECURITIES,
L.L.C.
TFS DERIVATIVES LLC
THE BAKER GROUP, LP
THE BANK STREET GROUP
L.L.C.
THE BENCHMARK COMPANY,
LLC
THE BUCKINGHAM
RESEARCH GROUP
INCORPORATED
THE GMS GROUP, LLC
THE KLEIN GROUP, LLC
THE LEADERS GROUP, INC.
THE O.N. EQUITY SALES
COMPANY
THE VERTICAL TRADING
GROUP, LLC
THE WILLIAMS CAPITAL
GROUP, L.P.
THINKEQUITY LLC

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THOMA BRAVO CAPITAL
 MARKETS, LLC
 THOMAS WEISEL PARTNERS
 LLC
 THREE BROTHERS TRADING,
 LLC
 THRIVENT DISTRIBUTORS,
 LLC
 THRIVENT INVESTMENT
 MANAGEMENT INC.
 TIAA-CREF INDIVIDUAL &
 INSTITUTIONAL SERVICES,
 LLC
 TIBRA TRADING AMERICA
 LLC
 TICKRS CLEARING
 TICONDEROGA SECURITIES
 LLC
 TIDAL PARTNERS, LLC
 TIGRESS FINANCIAL
 PARTNERS, LLC
 TJM INVESTMENTS, LLC
 TM CAPITAL CORP.
 TMC BONDS L.L.C.
 TMT INVESTMENTS, LLC
 TORA TRADING SERVICES,
 LLC
 TORO TRADING LLC
 TOUCHSTONE SECURITIES,
 INC.
 TOURMALINE PARTNERS, LLC
 TOWER PRINCIPAL MARKETS
 LLC
 TOWER SQUARE SECURITIES,
 INC.
 TOWERS WATSON CAPITAL
 MARKETS INC.
 TOYOTA FINANCIAL SERVICES
 SECURITIES USA
 CORPORATION
 TP ICAP GLOBAL MARKETS
 AMERICAS LLC
 TPG CAPITAL BD, LLC
 TRADE INFORMATICS LLC

TRADE-PMR INC.
 TRADEBOT SYSTEMS, INC.
 TRADELINK L.L.C.
 TRADELINK SECURITIES, LLC
 TRADEMAS INC.
 TRADEUP SECURITIES, INC.
 TRADEWEB DIRECT LLC
 TRADEWEB L.L.C
 TRADITION SECURITIES AND
 DERIVATIVES LLC
 TRANSAMERICA CAPITAL, LLC
 TRANSAMERICA FINANCIAL
 ADVISORS, LLC
 TRANSAMERICA INVESTORS
 SECURITIES, LLC
 TRANSMARKET GROUP L.L.C.
 TRB CAPITAL MARKETS, LLC
 TRC MARKETS LLC
 TRIAD ADVISORS LLC
 TRIBAL CAPITAL MARKETS,
 LLC
 TRIPLETREE, LLC
 TRUIST INVESTMENT
 SERVICES, INC.
 TRUIST SECURITIES, INC.
 TRUMID FINANCIAL LLC
 TUDOR PICKERING HOLT &
 CO ADVISORS LP
 TUDOR, PICKERING, HOLT &
 CO. SECURITIES, LLC
 TULLETT PREBON FINANCIAL
 SERVICES LLC
 TWEEDY, BROWNE
 COMPANY LLC
 TWO RIVERS TRADING
 GROUP LLC
 TWO SIGMA EXECUTION
 SERVICES, LLC
 TWO SIGMA SECURITIES, LLC
 TZ CLEAR LLC
 UBS ASSET MANAGEMENT
 (US) INC.
 UBS FINANCIAL SERVICES
 INC.

UBS FINANCIAL SERVICES
 INCORPORATED OF PUERTO
 RICO
 ULLICO INVESTMENT
 COMPANY, LLC
 UMB FINANCIAL SERVICES,
 INC.
 UNICREDIT CAPITAL
 MARKETS LLC
 UNITED CAPITAL MARKETS,
 INC.
 UNITED PLANNERS'
 FINANCIAL SERVICES OF
 AMERICA A LIMITED
 PARTNER
 UNIVEST SECURITIES, LLC
 US TIGER SECURITIES, INC.
 USAA FINANCIAL ADVISORS,
 INC.
 USAA INVESTMENT SERVICES
 COMPANY
 UVEST FINANCIAL SERVICES
 GROUP, INC.
 VALLEY FINANCIAL
 MANAGEMENT, INC.
 VALLEY FORGE ASSET
 MANAGEMENT, LLC
 VAN ECK SECURITIES
 CORPORATION
 VAN LANSCHOT KEMPEN
 (USA) INC.
 VANAHEIM SECURITIES, LLC
 VANGUARD MARKETING
 CORPORATION
 VAQUERO CAPITAL LLC
 VECTORGLOBAL WMG, INC.
 VELOCITY CAPITAL, LLC
 VELOX CLEARING LLC
 VICENTE & PARTNERS LLC
 VINING-SPARKS IBG, LLC
 VIRTU AMERICAS LLC
 VIRTU FINANCIAL BD LLC
 VIRTU FINANCIAL CAPITAL
 MARKETS LLC

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VIRTU ITG LLC	WILLIAM BLAIR & COMPANY
VIRTUS CAPITAL MARKETS LLC	L.L.C.
VISION FINANCIAL MARKETS LLC	WILLIAMS TRADING LLC
VISTA POINT ADVISORS, LLC	WILLIS TOWERS WATSON SECURITIES, LLC
VOLANT LIQUIDITY, LLC	WILMINGTON BROKERAGE SERVICES COMPANY
VOYA FINANCIAL ADVISORS, INC.	WILSON-DAVIS & CO., INC.
VOYA FINANCIAL PARTNERS, LLC	WINTRUST INVESTMENTS LLC
VOYA INVESTMENTS DISTRIBUTOR, LLC	WOLVERINE EXECUTION SERVICES, LLC
VOYA RETIREMENT ADVISORS, LLC	WOLVERINE SECURITIES, LLC
VP DISTRIBUTORS LLC	WOLVERINE TRADING, LLC
VRA PARTNERS, LLC	WOODBURY FINANCIAL SERVICES, INC.
WACHTEL & CO., INC.	WOODROCK SECURITIES, L.P.
WADDELL & REED, INC.	WORLD GROUP SECURITIES, INC.
WAKE USA LLC	WR SECURITIES, LLC
WALL STREET ACCESS	WSA FIXED INCOME, LLC
WALLACHBETH CAPITAL LLC	X-CHANGE FINANCIAL ACCESS, LLC
WALNUT STREET SECURITIES, INC.	XAMBALA CAPITAL, LLC
WEALTHFRONT BROKERAGE LLC	XMS CAPITAL PARTNERS, LLC
WEBULL FINANCIAL LLC	XP INVESTMENTS US, LLC
WEDBUSH SECURITIES INC.	XR SECURITIES LLC
WEEDEN & CO.L.P.	XTELLUS CAPITAL PARTNERS, INC.
WELLINGTON SHIELDS & CO., LLC	XTX EXECUTION SERVICES LLC
WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	XTX MARKETS LLC
WELLS FARGO CLEARING SERVICES, LLC	ZEBED SECURITIES, LLC
WELLS FARGO INSTITUTIONAL SECURITIES, LLC	ZELMAN PARTNERS, LLC
WELLS FARGO PRIME SERVICES, LLC	ZEUS FINANCIAL, LLC
WESTMINSTER RESEARCH ASSOCIATES LLC	ZIONS DIRECT, INC.
WESTPAC CAPITAL MARKETS LLC	ZIV INVESTMENT COMPANY