
List of Brokers and Dealers with No Outstanding Past-Due Share of the Broker-Dealer Accounting Support Fee

Determination of Payment under PCAOB Rule 7104(b)

As of July 23, 2021

The following is a list of brokers and dealers that have been assessed and have no outstanding past-due share of the broker-dealer accounting support fee of the Public Company Accounting Oversight Board ("PCAOB"), as of July 23, 2021.^{1/}

A registered public accounting firm may take the inclusion of a broker or dealer on this list as an indication that the broker or dealer has no outstanding past-due share of the broker-dealer accounting support fee for purposes of PCAOB Rule 7104(b).

This list will be updated at least bi-weekly. If a registered public accounting firm cannot obtain a representation from the broker or dealer or cannot determine by reviewing the list below whether the broker or dealer has no past-due share of the accounting support fee outstanding, the registered public accounting firm may contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

If you believe a broker or dealer has been excluded from this list in error, please contact the staff by phone at 1-202-207-9294 (Business Days: 9:00 a.m. to 5:00 p.m. ET) or by email at confirm@pcaobus.org.

Additional information about the broker-dealer accounting support fee and the funding process is available in the Frequently Asked Questions ("FAQs") available in the Accounting Support Fee section of the PCAOB's Web site (<http://pcaobus.org/About/Administration/SupportFee/Pages/BDSupportFeeFAQ.aspx>).

^{1/} Just because a broker or dealer does not appear on this list does not mean that the broker or dealer has outstanding a past-due share of the broker-dealer accounting support fee. Many "brokers" or "dealers," as such terms are defined in the Sarbanes-Oxley Act and the Board's rules, are not allocated a share of the broker-dealer accounting support fee under the Board's funding rules and therefore are not on this list even though they have outstanding no past-due share of the accounting support fee. In addition, payments are received periodically by the PCAOB and are not reflected on this list until it is next updated. For more information regarding the determination of payment of accounting support fees by registered accounting firms, please see rule 7104(b).

Public Company Accounting Oversight Board
 Brokers and Dealers With No Outstanding
 Past-Due Share as of July 23, 2021

	*	*	*
280 SECURITIES LLC	AMERICA'S GROWTH	ARXIS SECURITIES LLC	
303 EQUITY TRADING GROUP	CAPITAL, LLC	ASCENSUS BROKER DEALER	
II, LLC	AMERICAN CENTURY	SERVICES, INC.	
A. R. SCHMEIDLER & CO.,	INVESTMENT SERVICES, INC.	ASHMORE INVESTMENT	
INC.	AMERICAN ENTERPRISE	MANAGEMENT (US)	
A.B. FINANCIAL L.L.C.	INVESTMENT SERVICES INC.	CORPORATION	
A.G.P. / ALLIANCE GLOBAL	AMERICAN FUNDS	ASL CAPITAL MARKETS INC.	
PARTNERS CORP.	DISTRIBUTORS, INC.	ASPEN TRADING, L.L.C	
AARDVARK SECURITIES LLC	AMERICAN PORTFOLIOS	ASSOCIATED OPTIONS, INC.	
ABBEY NATIONAL SECURITIES	FINANCIAL SERVICES, INC.	ATHENE SECURITIES, LLC	
INC.	AMERIPRISE FINANCIAL	ATLANTIC - PACIFIC CAPITAL,	
ABEL NOSER, LLC.	SERVICES, LLC	INC.	
ABEL/NOSER CORP.	AMERITAS INVESTMENT	ATLAS ONE FINANCIAL	
ABG SUNDAL COLLIER INC.	COMPANY, LLC	GROUP, LLC	
ABN AMRO CLEARING	AMERIVET SECURITIES, INC.	AUERBACH GRAYSON &	
CHICAGO LLC	AMHERST PIERPONT	COMPANY LLC	
ABN AMRO SECURITIES (USA)	SECURITIES LLC	AURIGA USA, LLC	
LLC	AMHERST SECURITIES	AUTOMATED TRADING DESK	
ACADEMY SECURITIES, INC.	GROUP, L.P.	BROKERAGE SERVICES, LLC	
ACCESS SECURITIES, LLC	AMS DERIVATIVES B.V.	AUTONOMOUS RESEARCH	
ADVISORS ASSET	AMUNDI PIONEER	LLP	
MANAGEMENT, INC.	DISTRIBUTOR, INC.	AVANTAX INVESTMENT	
AERIS PARTNERS LLC	ANGEL ISLAND CAPITAL	SERVICES, INC.	
AIG CAPITAL SERVICES, INC.	SERVICES, LLC	AVATAR CAPITAL GROUP LLC	
AIG FINANCIAL SECURITIES	ANZ SECURITIES, INC.	AVATAR SECURITIES, LLC	
CORP.	AON SECURITIES LLC	AVM, L.P.	
AK CAPITAL LLC	APERTURE, LLC	AXOS CLEARING LLC	
AKUNA SECURITIES LLC	APEX CLEARING	B. C. ZIEGLER AND COMPANY	
ALADDIN CAPITAL LLC	CORPORATION	B. RILEY SECURITIES, INC.	
ALANTRA, LLC	APOLLO GLOBAL SECURITIES,	B. RILEY WEALTH	
ALLEN & COMPANY LLC	LLC	MANAGEMENT, INC.	
ALLIANCEBERNSTEIN	AQR INVESTMENTS, LLC	BAADER HELVEA INC.	
INVESTMENTS, INC.	AQUILO PARTNERS, L.P.	BAC FLORIDA INVESTMENTS	
ALLIANZ GLOBAL INVESTORS	ARCA CAPITAL	CORP.	
DISTRIBUTORS LLC	INVESTMENTS, INC.	BAKER TILLY CAPITAL, LLC	
ALLIANZ LIFE FINANCIAL	ARCHIPELAGO SECURITIES	BALANCE SHEET SOLUTIONS	
SERVICES, LLC	L.L.C.	LLC	
ALLSTATE FINANCIAL	ARCHIPELAGO TRADING	BANC OF AMERICA	
SERVICES, LLC	SERVICES, INC.	SPECIALIST, INC.	
ALLSTON TRADING LLC	ARCOLA SECURITIES, INC.	BANCO DO BRASIL	
ALLY SECURITIES LLC	ARDEA PARTNERS LP	SECURITIES LLC	
ALVAREZ & MARSAL	ARIEL DISTRIBUTORS, LLC	BANCO VOTORANTIM	
SECURITIES, LLC	ARVEST INVESTMENTS, INC.	SECURITIES, INC.	

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BANCROFT CAPITAL, LLC
BANCWEST INVESTMENT SERVICES, INC.
BANORTE-IXE SECURITIES INTERNATIONAL, LTD.
BARCLAYS CAPITAL INC.
BARON CAPITAL, INC.
BB&T INVESTMENT SERVICES, INC.
BB&T SECURITIES, LLC
BBVA COMPASS INVESTMENT SOLUTIONS, INC.
BBVA SECURITIES INC.
BBVA SECURITIES OF PUERTO RICO, INC.
BCP SECURITIES, LLC
BCS AMERICAS, INC.
BDT & COMPANY, LLC
BEDROK SECURITIES LLC
BELVEDERE TRADING LLC
BENCHMARK SECURITIES, LLC
BENGAL CAPITAL TRADING LLC
BENGAL CAPITAL, LLC
BENJAMIN F. EDWARDS & COMPANY, INC.
BERENBERG CAPITAL MARKETS LLC
BERENSON & COMPANY, LLC
BERNARD HEROLD & CO., INC.
BETA CAPITAL SECURITIES LLC
BETHESDA SECURITIES, LLC
BEXIL SECURITIES LLC
BGC FINANCIAL, L.P.
BIDS TRADING L.P.
BLACKARCH SECURITIES LLC
BLACKROCK CAPITAL MARKETS, LLC
BLACKROCK EXECUTION SERVICES

BLACKROCK FUND DISTRIBUTION COMPANY
BLACKROCK INVESTMENTS, LLC
BLACKSTONE SECURITIES PARTNERS L.P.
BLAYLOCK BEAL VAN, LLC
BLOOMBERG TRADEBOOK LLC
BLUE CAPITAL GROUP LLC
BLUE FIRE CAPITAL, LLC
BLUEFIN CAPITAL MANAGEMENT, LLC
BMO CAPITAL MARKETS CORP.
BMO CAPITAL MARKETS GKST INC.
BMO HARRIS FINANCIAL ADVISORS, INC.
BMO INVESTMENT DISTRIBUTORS, LLC
BMO NESBITT BURNS TRADING CORP. S.A.
BNP PARIBAS PRIME BROKERAGE, INC.
BNP PARIBAS SECURITIES CORP.
BNY MELLON CAPITAL MARKETS, LLC
BNY MELLON SECURITIES CORPORATION
BOENNING & SCATTERGOOD, INC.
BOFA DISTRIBUTORS, INC.
BOFA SECURITIES, INC.
BOK FINANCIAL SECURITIES, INC.
BONDS.COM, INC.
BOSTON PARTNERS SECURITIES, L.L.C.
BRADESCO SECURITIES, INC.
BRANDT EQUITIES LLC
BRAVER STERN SECURITIES LLC

BREAN CAPITAL, LLC
BRICKELL GLOBAL MARKETS, INC.
BRIGHT TRADING, LLC
BRIGHOUSE SECURITIES, LLC
BROADHAVEN SECURITIES LLC
BROADRIDGE BUSINESS PROCESS OUTSOURCING, LLC
BROKERTEC AMERICAS LLC
BROOKFIELD PRIVATE ADVISORS LLC
BROWNSTONE INVESTMENT GROUP, LLC
BTG PACTUAL US CAPITAL, LLC
BTIG, LLC
BUCKLER SECURITIES LLC
BURKE & QUICK PARTNERS LLC
BYRD TRADING, LLC
C&C TRADING L.L.C.
C. L. KING & ASSOCIATES, INC.
CADARET, GRANT & CO., INC.
CAIN BROTHERS & COMPANY, LLC
CALAMOS FINANCIAL SERVICES LLC
CALVERT INVESTMENT DISTRIBUTORS, INC.
CAMBRIDGE INTERNATIONAL SECURITIES, INC.
CAMBRIDGE INVESTMENT RESEARCH, INC.
CAMPBELL LUTYENS & CO. INC.
CANACCORD GENUITY SECURITIES LLC
CANACCORD WEALTH MANAGEMENT (USA) INC.
CANTOR FITZGERALD & CO.

Public Company Accounting Oversight Board
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CAPITAL BROKERAGE CORPORATION
CAPITAL INSTITUTIONAL SERVICES, INC.
CAPITAL ONE INVESTING, LLC
CAPITAL ONE SECURITIES, INC.
CARDINAL CAPITAL MANAGEMENT LLC
CARILLON FUND DISTRIBUTORS, INC.
CARNEGIE, INC.
CARTY & COMPANY, INC.
CASCADIA CAPITAL, LLC
CASGRAIN & COMPANY (USA) LIMITED
CASTLEOAK SECURITIES, LP
CBRE CAPITAL ADVISORS, INC.
CCB INTERNATIONAL OVERSEAS (USA) INC.
CENTAURUS FINANCIAL, INC.
CENTERVIEW PARTNERS LLC
CETERA ADVISOR NETWORKS LLC
CETERA ADVISORS LLC
CETERA FINANCIAL SPECIALISTS LLC
CETERA INVESTMENT SERVICES LLC
CF SECURED, LLC
CGIS SECURITIES LLC
CHARLES RIVER BROKERAGE, LLC
CHARLES SCHWAB & CO., INC.
CHASE INVESTMENT SERVICES CORP.
CHICAGO CAPITAL MANAGEMENT, L.P.
CHIMERA SECURITIES, LLC
CHINA RENAISSANCE SECURITIES (US) INC.
CHOPPER SECURITIES, LLC

CIBC WORLD MARKETS CORP.
CICC US SECURITIES, INC.
CIMB SECURITIES (USA), INC.
CIT CAPITAL SECURITIES LLC
CITADEL CLEARING LLC
CITADEL SECURITIES INSTITUTIONAL LLC
CITADEL SECURITIES LLC
CITI INTERNATIONAL FINANCIAL SERVICES LLC
CITI ORDER ROUTING AND EXECUTION, LLC
CITI PRIVATE ADVISORY, LLC
CITIC SECURITIES INTERNATIONAL USA, LLC
CITICORP SECURITIES SERVICES, INC.
CITIGROUP DERIVATIVES MARKETS INC.
CITIGROUP GLOBAL MARKETS INC.
CITIZENS CAPITAL MARKETS, INC.
CITIZENS SECURITIES, INC.
CITY NATIONAL SECURITIES, INC.
CITY SECURITIES CORPORATION
CLARK SECURITIES, INC.
CLARKSON CAPITAL MARKETS LLC
CLARKSONS PLATOU SECURITIES, INC.
CLEAR STREET LLC
CLEAR STREET MARKETS LLC
CLEARY GULL INC.
CLEVELAND RESEARCH COMPANY LLC
CLIFTONLARSONALLEN WEALTH ADVISORS, LLC
CLSA AMERICAS, LLC
CMCJL, LLC
CME BD SERVICES, INC.

CMT TRADING LLC
CMZ TRADING, LLC
COASTAL SECURITIES, INC.
CODE ADVISORS LLC
COGNITIVE CAPITAL, LLC
COHEN & COMPANY CAPITAL MARKETS, LLC
COHEN & COMPANY SECURITIES, LLC
COHEN & STEERS SECURITIES, LLC
COHNREZNICK CAPITAL MARKETS SECURITIES, LLC
COLLIERS SECURITIES LLC
COLLINS/BAY ISLAND SECURITIES, LLC
COLUMBIA MANAGEMENT INVESTMENT DISTRIBUTORS, INC.
COMERICA SECURITIES, INC.
COMMERCE BROKERAGE SERVICES, INC.
COMMERZ MARKETS LLC
COMMONFUND SECURITIES, INC.
COMMONWEALTH AUSTRALIA SECURITIES LLC
COMMONWEALTH EQUITY SERVICES, LLC
COMPASS POINT RESEARCH & TRADING, LLC
COMPASS PROFESSIONAL SERVICES, LLC
CONTINUITY PARTNERS GROUP, LLC
CORMARK SECURITIES (USA) LIMITED
CORNERSTONE MACRO LLC
CORNERSTONE TRADING, LLC
CORTVIEW CAPITAL SECURITIES LLC
COUNTRY CLUB FINANCIAL SERVICES, INC.
COWEN AND COMPANY, LLC

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COWEN CAPITAL LLC
COWEN EQUITY FINANCE LP
COWEN EXECUTION
SERVICES LLC
COWEN PRIME SERVICES LLC
CP COGENT SECURITIES LP
CRAIG-HALLUM CAPITAL
GROUP LLC
CREDIT AGRICOLE
CHEUVREUX NORTH
AMERICA, INC.
CREDIT AGRICOLE
SECURITIES (USA) INC.
CREDIT SUISSE CAPITAL LLC
CREDIT SUISSE PRIME
SECURITIES SERVICES (USA)
LLC
CREDIT SUISSE PRIVATE
ADVISORS AG
CREDIT SUISSE SECURITIES
(USA) LLC
CREWS & ASSOCIATES, INC.
CRITICAL TRADING, LLC
CROWELL, WEEDON & CO.
CRT CAPITAL GROUP LLC
CSCA CAPITAL ADVISORS, LLC
CSS, LLC
CT COLLATERAL FUNDING,
LLC
CTC, LLC
CUNA BROKERAGE SERVICES,
INC.
CURIAN CLEARING, LLC
CURTISWOOD CAPITAL, LLC
CURVATURE SECURITIES LLC
CUSO FINANCIAL SERVICES,
L.P.
CUTLER GROUP, LP
CUTWATER ASSET
MANAGEMENT CORP.
D.A. DAVIDSON & CO.
D.M. KELLY & COMPANY
DAHLMAN ROSE &
COMPANY, LLC

DAIWA CAPITAL MARKETS
AMERICA INC.
DAIWA CORPORATE
ADVISORY LLC
DANSKE MARKETS INC.
DAVENPORT & COMPANY
LLC
DAVID LERNER ASSOCIATES,
INC.
DAVIS DISTRIBUTORS, LLC
DAVY SECURITIES LIMITED
DB SECURITIES SERVICES NJ
INC.
DBS VICKERS SECURITIES
(USA) INC.
DEALERWEB INC.
DELANEY EQUITY GROUP LLC
DELOITTE CORPORATE
FINANCE LLC
DESJARDINS SECURITIES
INTERNATIONAL INC.
DEUTSCHE BANK SECURITIES
INC.
DEVELOPMENT
CORPORATION FOR ISRAEL
DIFFUSION MARKETS, L.L.C.
DIMENSION TRADING
GROUP, LLC
DIRECT EDGE ECN LLC
DIRECTED SERVICES LLC
DOFT & CO., INC.
DOUGH LLC
DOWLING & PARTNERS
SECURITIES LLC
DREXEL HAMILTON, LLC
DRIEHAUS SECURITIES LLC
DST MARKET SERVICES, LLC
DUFF & PHELPS SECURITIES
LLC
DUNCAN-WILLIAMS, INC.
DUNDEE SECURITIES INC.
DVB CAPITAL MARKETS LLC
DWS DISTRIBUTORS, INC.
DZ FINANCIAL MARKETS LLC

E D & F MAN CAPITAL
MARKETS INC.
E*TRADE CLEARING LLC
E*TRADE SECURITIES LLC
E.J. DE LA ROSA & CO., INC.
EARLYBIRDCAPITAL, INC.
EATON PARTNERS, LLC
EATON VANCE
DISTRIBUTORS, INC.
EBX LLC
ECHOTRADE LLC
EDWARD D. JONES & CO.,
L.P.
EFG CAPITAL
INTERNATIONAL CORP.
EG MARKET TECHNOLOGIES
LLC
ELECTRONIC TRANSACTION
CLEARING, INC.
EMMET & CO, INC.
EMPIRICAL RESEARCH
PARTNERS LLC
ENGLISH CONCEPTS, INC.
EPOCH SECURITIES, INC.
EQUATEX US INC
EQUILEND LLC
EQUITEC PROPRIETARY
MARKETS, LLC
EQUITEC SPECIALISTS, LLC
ERNST & YOUNG CAPITAL
ADVISORS, LLC
ESL INVESTMENT SERVICES,
LLC
ESTRADA HINOJOSA &
COMPANY, INC.
EVERCORE GROUP L.L.C.
EXANE, INC.
EXOTIX USA INC.
FACTSET DATA SYSTEMS,
INC.
FAGENSON & CO., INC.
FAIRMOUNT PARTNERS LP
FARMERS FINANCIAL
SOLUTIONS, LLC

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FELTL & COMPANY
FHN FINANCIAL SECURITIES
CORP.
FIDELITY BROKERAGE
SERVICES LLC
FIDELITY DISTRIBUTORS
COMPANY LLC
FIDELITY DISTRIBUTORS
CORPORATION
FIDELITY PRIME FINANCING,
LLC
FIFTH THIRD SECURITIES,
INC.
FIG PARTNERS, LLC
FINANCIAL NORTHEASTERN
SECURITIES, INC.
FIRST ALLIED SECURITIES,
INC.
FIRST ANALYSIS SECURITIES
CORPORATION
FIRST BALLANTYNE, LLC
FIRST BANKERS' BANC
SECURITIES, INC
FIRST BROKERS SECURITIES
LLC
FIRST CITIZENS INVESTOR
SERVICES, INC.
FIRST CITIZENS SECURITIES
CORPORATION
FIRST CLEARING, LLC
FIRST COMMAND FINANCIAL
PLANNING, INC.
FIRST DERIVATIVE TRADERS,
L.P.
FIRST EMPIRE SECURITIES,
INC.
FIRST HORIZON ADVISORS,
INC.
FIRST MANHATTAN CO
FIRST NEW YORK SECURITIES
L.L.C.
FIRST REPUBLIC SECURITIES
COMPANY, LLC

FIRST SOUTHWEST
COMPANY, LLC
FIRST TRUST PORTFOLIOS
L.P.
FIRST TRYON SECURITIES, LLC
FIRST WINSTON SECURITIES,
INC.
FIRSTRADE SECURITIES INC.
FIS BROKERAGE &
SECURITIES SERVICES LLC
FIXED INCOME CAPITAL
PARTNERS, LLC
FLEXTRADE LLC
FLORIDA ATLANTIC
SECURITIES CORP.
FLOW TRADERS U.S.
INSTITUTIONAL TRADING LLC
FLOW TRADERS U.S. LLC
FM PARTNERS HOLDINGS LLC
FMI CAPITAL ADVISORS, INC.
FMSBONDS, INC.
FOCALPOINT SECURITIES, LLC
FOLGER NOLAN FLEMING
DOUGLAS INCORPORATED
FOLIO INVESTMENTS, INC.
FORESTERS FINANCIAL
SERVICES, INC.
FORETHOUGHT
DISTRIBUTORS, LLC
FORGE MARKETS LLC
FOROS SECURITIES LLC
FOX RIVER EXECUTION
TECHNOLOGY, LLC
FRANKLIN/TEMPLETON
DISTRIBUTORS, INC.
FRED ALGER & COMPANY,
LLC
FREEDOM INVESTMENTS,
INC.
FROST BROKERAGE
SERVICES, INC.
FSC SECURITIES
CORPORATION
FSP INVESTMENTS LLC

FTI CAPITAL ADVISORS, LLC
FTP SECURITIES LLC
FUTU CLEARING INC
G-2 TRADING,LLC
G-BAR LIMITED
PARTNERSHIP
G-TRADE SERVICES LLC
G1 EXECUTION SERVICES, LLC
G2 TRADING LLC
GAGNON SECURITIES, LLC
GARGOYLE STRATEGIC
INVESTMENTS L.L.C.
GBM INTERNATIONAL, INC.
GCA ADVISORS, LLC
GDK, INC.
GE CAPITAL MARKETS, INC.
GELBER SECURITIES, LLC
GENESIS CAPITAL, LLC
GENESIS GLOBAL TRADING,
INC
GEORGE K. BAUM &
COMPANY
GETCO EXECUTION SERVICES
LLC
GETCO SECURITIES, LLC
GFI SECURITIES LLC
GILDER GAGNON HOWE &
CO. LLC
GLEACHER & COMPANY
SECURITIES, INC.
GLICKENHAUS & CO.
GLOBAL ALTERNATIVE
INVESTMENT SERVICES, INC.
GLOBAL EXECUTION
BROKERS, LP
GLOBAL HUNTER SECURITIES,
LLC
GMP SECURITIES, LLC
GOLDMAN SACHS & CO. LLC
GOLDMAN SACHS
EXECUTION & CLEARING, L.P.
GOLDMAN SACHS FINANCIAL
MARKETS, L.P.
GORDON DYAL & CO., LLC

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GRAY WHALE CAPITAL, LLC
GREAT POINT CAPITAL LLC
GREEN STREET ADVISORS,
LLC
GREENHILL & CO., LLC
GREENSLEDGE CAPITAL
MARKETS LLC
GRIFFIN FINANCIAL GROUP,
LLC
GRIFFITHS MCBURNEY CORP.
GROUP ONE TRADING, L.P.
GTS SECURITIES LLC
GUARDIAN INVESTOR
SERVICES LLC
GUGGENHEIM
DISTRIBUTORS, LLC
GUGGENHEIM FUNDS
DISTRIBUTORS, LLC
GUGGENHEIM INVESTOR
SERVICES, LLC
GUGGENHEIM SECURITIES,
LLC
GUZMAN & COMPANY
GX2 SPREAD MARKETS, LLC
H. BECK, INC.
HAITONG INTERNATIONAL
SECURITIES (USA) INC.
HAITONG SECURITIES USA
LLC
HANCOCK WHITNEY
INVESTMENT SERVICES INC.
HANDELSBANKEN MARKETS
SECURITIES, INC.
HANTZ FINANCIAL SERVICES,
INC.
HAP TRADING, LLC
HAPOALIM SECURITIES USA,
INC.
HARD EIGHT TRADING, LLC
HARDCASTLE TRADING USA
L.L.C.
HARRIS WILLIAMS LLC
HARTFORD FUNDS
DISTRIBUTORS, LLC

HBK GLOBAL SECURITIES L.P.
HEADLANDS TECH GLOBAL
MARKETS, LLC
HEFREN-TILLOTSON, INC.
HENNION & WALSH, INC.
HERBERT J. SIMS & CO, INC.
HFF SECURITIES L.P.
HILLTOP SECURITIES INC.
HOLD BROTHERS CAPITAL
LLC
HOME FINANCIAL SERVICES,
INC.
HORNOR, TOWNSEND &
KENT, INC.
HOULIHAN LOKEY CAPITAL,
INC.
HOVDE GROUP, LLC
HOWARD WEIL
INCORPORATED
HRT EXECUTION SERVICES
LLC
HSBC SECURITIES (USA) INC.
HUATAI SECURITIES (USA),
INC.
HUNTINGTON SECURITIES,
INC.
IBERIA CAPITAL PARTNERS
L.L.C.
ICAP CORPORATES LLC
ICBC STANDARD SECURITIES
INC.
ICE BONDS SECURITIES
CORPORATION
ICE SECURITIES EXECUTION &
CLEARING, LLC
ICM SECURITIES, LLC
IDB CAPITAL CORP.
IEX SERVICES LLC
IFC HOLDINGS, INC.
IMC-CHICAGO, LLC
IMPERIAL CAPITAL, LLC
INCAPITAL LLC
INDUSTRIAL AND
COMMERCIAL BANK OF

CHINA FINANCIAL SERVICES
LLC
INFINEX INVESTMENTS, INC.
ING FINANCIAL MARKETS LLC
INGALLS & SNYDER, LLC
INSTITUTIONAL CASH
DISTRIBUTORS, LLC
INTERACTIVE BROKERS
CORP.
INTERACTIVE BROKERS LLC
INTERCOASTAL CAPITAL
MARKETS, INC.
INTERNATIONAL STRATEGY &
INVESTMENT GROUP LLC
INTESA SANPAOLO IMI
SECURITIES CORP.
INTL FCSTONE FINANCIAL
INC.
INTREPID INVESTMENT
BANKERS LLC
INTREPID PARTNERS, LLC
INVEMED ASSOCIATES LLC
INVESCO CAPITAL MARKETS,
INC.
INVESCO DISTRIBUTORS, INC.
INVESTACORP, INC.
INVESTEC SECURITIES (US)
LLC
INVESTMENT CENTERS OF
AMERICA, INC.
INVESTMENT DISTRIBUTORS,
INC.
INVESTMENT PLACEMENT
GROUP
INVEX, LLC.
ITAU BBA USA SECURITIES,
INC.
ITAU INTERNATIONAL
SECURITIES INC.
IVY DISTRIBUTORS, INC.
J. WOOD CAPITAL ADVISORS
LLC
J.J.B. HILLIARD, W.L. LYONS,
LLC

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J.P. MORGAN CLEARING CORP.	KEEFE, BRUYETTE & WOODS, INC.	LINCOLN FINANCIAL SECURITIES CORPORATION
J.P. MORGAN INSTITUTIONAL INVESTMENTS INC.	KEMPEN & CO U.S.A., INC.	LINCOLN INTERNATIONAL LLC
J.P. MORGAN PRIME INC.	KESTRA INVESTMENT SERVICES, LLC	LINCOLN INVESTMENT PLANNING, LLC
J.P. MORGAN SECURITIES LLC	KEY INVESTMENT SERVICES LLC	LIONTREE ADVISORS LLC
J.V.B. FINANCIAL GROUP, LLC	KEYBANC CAPITAL MARKETS INC.	LIQUIDNET, INC.
JACKSON NATIONAL LIFE DISTRIBUTORS LLC	KGS-ALPHA CAPITAL MARKETS, L.P.	LIQUIDPOINT, LLC
JAG TRADING L.L.C.	KIPPSDESANTO & COMPANY	LLOYDS SECURITIES INC.
JANE STREET CAPITAL, LLC	KKR CAPITAL MARKETS LLC	LOEB PARTNERS CORPORATION
JANE STREET EXECUTION SERVICES, LLC	KMS FINANCIAL SERVICES, INC.	LOMBARD ODIER
JANE STREET MARKETS, LLC	KNIGHT CAPITAL AMERICAS, L.P.	TRANSATLANTIC LIMITED
JANNEY MONTGOMERY SCOTT LLC	KOONCE SECURITIES, INC.	LOOP CAPITAL MARKETS LLC
JANUS DISTRIBUTORS LLC	KOYOTE TRADING LLC	LPL FINANCIAL LLC
JEFFERIES BACHE SECURITIES, LLC	KPMG CORPORATE FINANCE LLC	LUMINEX TRADING & ANALYTICS LLC
JEFFERIES EXECUTION SERVICES, INC.	L.J. HART AND COMPANY	LUPO SECURITIES, LLC
JEFFERIES HIGH YIELD TRADING, LLC	LADDER CAPITAL SECURITIES LLC	M&I FINANCIAL ADVISORS, INC.
JEFFERIES LLC	LADENBURG THALMANN & CO. INC.	M&T SECURITIES, INC.
JMP SECURITIES LLC	LAKESHORE SECURITIES, L.P.	M3 CAPITAL PARTNERS LLC
JOE JOLLY & CO., INC.	LASALLE INVESTMENT MANAGEMENT	MACQUARIE CAPITAL (USA) INC.
JOHN HANCOCK DISTRIBUTORS LLC	DISTRIBUTORS, LLC	MACQUARIE CAPITAL MARKETS NORTH AMERICA LTD.
JOHN HANCOCK INVESTMENT MANAGEMENT DISTRIBUTORS LLC	LATOUR TRADING LLC	MACRO RISK ADVISORS LLC
JOHNSON RICE & COMPANY L.L.C.	LAVAFLOW, INC.	MAN INVESTMENTS INC.
JONES LANG LASALLE SECURITIES, LLC	LAZARD FRERES & CO. LLC	MAPLE SECURITIES U.S.A. INC.
JONESTRADING	LAZARD MIDDLE MARKET LLC	MARIVA CAPITAL MARKETS, LLC
INSTITUTIONAL SERVICES LLC	LEK SECURITIES CORPORATION	MARKETAXESS CORPORATION
JPMORGAN DISTRIBUTION SERVICES, INC.	LEUMI INVESTMENT SERVICES INC.	MARKS BAUGHAN SECURITIES LLC
JUMP TRADING, LLC	LIME BROKERAGE LLC	MARSCO INVESTMENT CORPORATION
JVB FINANCIAL GROUP, LLC	LINCOLN FINANCIAL ADVISORS CORPORATION	MAXIM GROUP LLC
KBC SECURITIES USA LLC	LINCOLN FINANCIAL DISTRIBUTORS, INC.	MBS CAPITAL MARKETS, LLC
KBFG SECURITIES AMERICA INC.		MCADAMS WRIGHT RAGEN, INC.

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MCAP LLC	MKM PARTNERS LLC	NASDAQ OPTIONS SERVICES, LLC
MCS CAPITAL MARKETS LLC	MMA SECURITIES LLC	NATALIANCE SECURITIES, LLC
MDB CAPITAL GROUP LLC	MMC SECURITIES LLC	NATHAN HALE CAPITAL, LLC
MERCANTIL COMMERCEBANK	MML INVESTORS SERVICES, LLC	NATIONAL FINANCIAL SERVICES LLC
INVESTMENT SERVICES	MND PARTNERS, INC.	NATIONAL PLANNING CORPORATION
MERCER ALLIED COMPANY, L.P.	MOCHO TRADING LLC	NATIONAL SECURITIES CORPORATION
MERCHANT CAPITAL, L.L.C.	MOELIS & COMPANY LLC	NATIONWIDE FUND DISTRIBUTORS LLC
MERIDIAN CAPITAL LLC	MOG CAPITAL L.L.C.	NATIONWIDE SECURITIES, LLC
MERRILL LYNCH FINANCIAL MARKETS, INC.	MONEY CONCEPTS CAPITAL CORP	NATIXIS DERIVATIVES INC.
MERRILL LYNCH PROFESSIONAL CLEARING CORP.	MOORELAND PARTNERS LLC	NATIXIS DISTRIBUTION, L.P.
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	MORGAN JOSEPH TRIARTISAN LLC	NATIXIS SECURITIES AMERICAS LLC
MERUS CAPITAL PARTNERS, LLC	MORGAN KEEGAN & COMPANY, LLC	NATWEST MARKETS SECURITIES INC.
MESIROW FINANCIAL, INC.	MORGAN STANLEY & CO. LLC	NAVY FEDERAL BROKERAGE SERVICES, LLC
METLIFE INVESTORS DISTRIBUTION COMPANY	MORGAN STANLEY DISTRIBUTION, INC.	NBC SECURITIES, INC.
MFR SECURITIES, INC.	MORGAN STANLEY SMITH BARNEY LLC	NBF SECURITIES (USA) CORP.
MFS FUND DISTRIBUTORS, INC.	MORNINGSTAR INVESTMENT SERVICES LLC	NEEDHAM & COMPANY, LLC
MIDLEGATE SECURITIES LTD.	MOTIF INVESTING, INC.	NELNET CAPITAL LLC
MIDLAND SECURITIES, LTD	MOUNTAINVIEW SECURITIES, LLC	NEUBERGER BERMAN BD LLC
MILLCO ADVISORS, LP	MPS GLOBAL SECURITIES, LLC	NEUBERGER BERMAN MANAGEMENT LLC
MILLENNIUM ADVISORS, LLC	MS SECURITIES SERVICES INC.	NEW ENGLAND SECURITIES CORPORATION
MILLER BUCKFIRE & CO., LLC	MSI FINANCIAL SERVICES, INC.	NEWEDGE USA, LLC
MILLER TABAK + CO., LLC	MTG, LLC	NEXBANK SECURITIES INC
MINT BROKERS	MTS SECURITIES, LLC	NOLITA CAPITAL, LLC
MIRAE ASSET SECURITIES (USA) INC.	MUFG AMERICAS CORPORATE ADVISORY, INC.	NOMURA GLOBAL FINANCIAL PRODUCTS INC.
MIRAE ASSET WEALTH MANAGEMENT (USA) INC.	MULTI-BANK SECURITIES, INC.	NOMURA GREENTECH CAPITAL ADVISORS, LLC
MISCHLER FINANCIAL GROUP, INC.	MURIEL SIEBERT & CO., INC.	NOMURA SECURITIES INTERNATIONAL, INC.
MITCHELL ENERGY ADVISORS, LLC	N. B. ZOULLAS SECURITIES, INC.	NOMURA SECURITIES NORTH AMERICA, LLC
MIURA CAPITAL, LLC	N.A. INVESTCORP LLC	NORDEA MARKETS LLC
MIZUHO SECURITIES USA LLC	N.I.S. FINANCIAL SERVICES, INC.	
	NABSECURITIES, LLC	

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NORTH BRIDGE CAPITAL, LLC
NORTH POINT ADVISORS,
INC.
NORTHERN TRUST
SECURITIES, INC.
NORTHLAND SECURITIES,
INC.
NORTHSTAR SECURITIES, LLC
NORTHWESTERN MUTUAL
INVESTMENT SERVICES, LLC
NUMIS SECURITIES INC.
NUVEEN SECURITIES, LLC
NYLIFE DISTRIBUTORS LLC
NYLIFE SECURITIES LLC
O'NEIL SECURITIES
INCORPORATED
OBD SECURITIES LLC
OCTEG, LLC
ODDO BHF NEW YORK
CORPORATION
OHIO NATIONAL EQUITIES,
INC.
OLD MISSION CAPITAL, LLC
OLD MISSION MARKETS LLC
OPENDOOR SECURITIES LLC
OPPENHEIMER & CO. INC.
OPPENHEIMERFUNDS
DISTRIBUTOR, INC.
OPTIONSHOUSE, LLC
OPTIONSPRESS, INC.
OPTIVER US LLC
OREC SECURITIES, LLC
ORIENTAL FINANCIAL
SERVICES CORP.
OSCAR GRUSS & SON
INCORPORATED
OTA LLC
OTC LINK LLC
P.J. ROBB VARIABLE
CORPORATION
PACIFIC CREST SECURITIES
LLC
PACIFIC SELECT
DISTRIBUTORS, LLC

PALAFIX TRADING LLC
PARIS SECURITIES
CORPORATION
PARK AVENUE SECURITIES
LLC
PARK HILL REAL ESTATE
GROUP LLC
PAULSON INVESTMENT
COMPANY LLC
PAVILION GLOBAL MARKETS
LTD.
PAYCHEX SECURITIES
CORPORATION
PEAK6 CAPITAL
MANAGEMENT LLC
PEAK6 PERFORMANCE
MANAGEMENT LLC
PEAK6 VEGA MASTER FUND
LLC
PENSERRA SECURITIES, LLC
PENSON FINANCIAL
SERVICES, INC.
PEOPLE'S SECURITIES, INC.
PERELLA WEINBERG
PARTNERS LP
PERFORMANCE TRUST
CAPITAL PARTNERS, LLC
PERSHING ADVISOR
SOLUTIONS LLC
PERSHING LLC
PFS INVESTMENTS INC.
PHILLIP CAPITAL INC.
PHOENIX DERIVATIVES
GROUP, LLC
PICTET OVERSEAS INC.
PIMCO INVESTMENTS LLC
PIPELINE TRADING SYSTEMS
LLC
PIPER SANDLER & CO.
PLANMEMBER SECURITIES
CORPORATION
PNC CAPITAL MARKETS LLC
PNC INVESTMENTS LLC
POPULAR SECURITIES, LLC

PORTIGON SECURITIES INC.
POTAMUS TRADING, LLC
PRAGMA LLC
PRIME DEALER SERVICES
CORP.
PRINCIPAL FUNDS
DISTRIBUTOR, INC.
PRINCIPAL SECURITIES, INC.
PROBITAS FUNDS GROUP,
LLC
PROEQUITIES, INC.
PROSPERA FINANCIAL
SERVICES, INC.
PTR, INC.
PULSE TRADING, INC.
PUNDION LLC
PUTNAM RETAIL
MANAGEMENT LIMITED
PARTNERSHIP
QATALYST PARTNERS LP
QUAD CAPITAL, LLC
QUAD SECURITIES LLC
QUANTLAB SECURITIES LP
QUASAR DISTRIBUTORS, LLC
QUESTAR CAPITAL
CORPORATION
QUIET LIGHT SECURITIES, LLC
R.W.PRESSPRICH & CO.
RABO SECURITIES USA, INC.
RAFFERTY CAPITAL
MARKETS, LLC
RAINE SECURITIES LLC
RAINIER SECURITIES, LLC
RAMAT SECURITIES LTD.
RAYMOND JAMES &
ASSOCIATES, INC.
RAYMOND JAMES (USA) LTD.
RAYMOND JAMES FINANCIAL
SERVICES, INC.
RAYMOND JAMES
INSURANCE GROUP, INC.
RB INTERNATIONAL
MARKETS (USA) LLC
RBC CAPITAL MARKETS, LLC

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RBC CMA LLC
RCI LIMITED PARTNERSHIP
REALCADRE LLC
RED CEDAR TRADING, LLC
REGIONS SECURITIES LLC
RELATED FINANCIAL
SERVICES, LLC
RENCAP SECURITIES, INC.
REUTERS TRANSACTION
SERVICES LLC
RGM SECURITIES, LLC
RIVERSOURCE
DISTRIBUTORS, INC.
RIVERSTONE CAPITAL
SERVICES LLC
ROBERT W. BAIRD & CO.
INCORPORATED
ROBINHOOD FINANCIAL, LLC
ROBINHOOD SECURITIES, LLC
ROCKEFELLER FINANCIAL LLC
RODMAN & RENSHAW, LLC
RONIN CAPITAL, LLC
ROOSEVELT & CROSS,
INCORPORATED
ROSENTHAL GLOBAL
SECURITIES LLC
ROSS, SINCLAIRE &
ASSOCIATES, LLC
ROTHSCHILD & CO US INC.
ROYAL ALLIANCE
ASSOCIATES, INC.
RUANE, CUNNIFF &
GOLDFARB LLC
RUSSELL INVESTMENTS
FINANCIAL SERVICES, LLC
RUSSELL INVESTMENTS
IMPLEMENTATION SERVICES,
LLC
SAFRA SECURITIES LLC
SAGEPOINT FINANCIAL, INC.
SAL EQUITY TRADING, GP
SAMCO CAPITAL MARKETS,
INC.
SAMI BROKERAGE LLC

SAMMONS FINANCIAL
NETWORK, LLC
SAMSUNG SECURITIES
(AMERICA), INC.
SAMUEL A. RAMIREZ &
COMPANY, INC.
SANDERS MORRIS HARRIS
INC.
SANDLER, O'NEILL &
PARTNERS, L.P.
SANFORD C. BERNSTEIN &
CO., LLC
SANTANDER INTERNATIONAL
SECURITIES, INC.
SANTANDER INVESTMENT
SECURITIES INC.
SANTANDER SECURITIES LLC
SAYBRUS EQUITY SERVICES,
INC
SBERBANK CIB USA, INC
SCORE PRIORITY CORP.
SCOTIA CAPITAL (USA) INC.
SCOTT & STRINGFELLOW, LLC
SCOTTRADE, INC.
SCOUT TRADING, LLC
SEAPORT GLOBAL
SECURITIES LLC
SEAPORT SECURITIES CORP.
SEARLE & CO.
SEATTLE-NORTHWEST
SECURITIES CORPORATION
SEB SECURITIES, INC.
SECURIAN FINANCIAL
SERVICES, INC.
SECURITIES AMERICA, INC.
SECURITIES MANAGEMENT
AND RESEARCH, INC.
SECURITY DISTRIBUTORS, LLC
SEI INVESTMENTS
DISTRIBUTION CO.
SENTINEL FINANCIAL
SERVICES COMPANY
SENVEST INTERNATIONAL
L.L.C.

SETTER CAPITAL INC.
SG AMERICAS SECURITIES,
LLC
SHAY FINANCIAL SERVICES,
INC.
SHEA & COMPANY, LLC
SHEARMAN, RALSTON INC.
SHELBY CULLOM DAVIS &
CO., L.P.
SIEBERT WILLIAMS SHANK &
CO., LLC
SIG BROKERAGE, LP
SIGMA FINANCIAL
CORPORATION
SIGNAL HILL CAPITAL GROUP
LLC
SIGNATOR INVESTORS, INC.
SIGNATURE SECURITIES
GROUP CORPORATION
SII INVESTMENTS, INC.
SIMMONS & COMPANY
INTERNATIONAL
SIMMONS FIRST
INVESTMENT GROUP, INC.
SIMON MARKETS LLC
SIMPLEX TRADING, LLC
SMBC NIKKO SECURITIES
AMERICA, INC.
SMBC NIKKO SECURITIES
CANADA, LTD.
SOFI SECURITIES LLC
SOLEBURY CAPITAL LLC
SOUTH STREET SECURITIES
LLC
SPHINX TRADING, LP
SPOT TRADING L.L.C.
SPROTT GLOBAL RESOURCE
INVESTMENTS LTD
SPURRIER CAPITAL
PARTNERS LLC
STANDARD CHARTERED
SECURITIES NORTH AMERICA
LLC

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STANDARD CREDIT
SECURITIES INC.
STANDARD NEW YORK, INC.
STATE FARM VP
MANAGEMENT CORP.
STATE STREET GLOBAL
ADVISORS FUNDS
DISTRIBUTORS, LLC
STATE STREET GLOBAL
MARKETS, LLC
STEBEN & COMPANY, LLC
STEPHENS INC.
STERLING, GRACE
MUNICIPAL SECURITIES
CORPORATION
STERN BROTHERS & CO.
STERNE, AGEE & LEACH, INC.
STIFEL, NICOLAUS &
COMPANY, INCORPORATED
STOCKCROSS FINANCIAL
SERVICES, INC.
STOEVER, GLASS & COMPANY
INC.
STONE & YOUNGBERG LLC
STONE RIDGE SECURITIES LLC
STORMHARBOUR SECURITIES
LP
STRATEGAS SECURITIES, LLC
STUART FRANKEL & CO.,
INCORPORATED
STUDENT OPTIONS, LLC
SUMMIT BROKERAGE
SERVICES, INC.
SUMO CAPITAL, LLC
SUMRIDGE PARTNERS, LLC
SUN LIFE FINANCIAL
DISTRIBUTORS, INC.
SUNGARD INSTITUTIONAL
BROKERAGE INC.
SUNRISE BROKERS LLC
SUNTRUST INVESTMENT
SERVICES, INC.
SUSQUEHANNA FINANCIAL
GROUP, LLLP

SUSQUEHANNA SECURITIES,
LLC
SVB LEERINK LLC
SVB SECURITIES, A NON-
BANK AFFILIATE OF SILICON
VALLEY BANK
SWBC INVESTMENT
SERVICES, LLC
SWEDBANK SECURITIES US,
LLC
SWENEY CARTWRIGHT &
COMPANY
SWISS RE CAPITAL MARKETS
CORPORATION
SYNOVUS SECURITIES, INC.
SYSTEMATIZED BENEFITS
ADMINISTRATORS, INC.
T. ROWE PRICE INVESTMENT
SERVICES, INC.
TALCOTT RESOLUTION
DISTRIBUTION COMPANY,
INC.
TASTYWORKS, INC.
TD AMERITRADE CLEARING,
INC.
TD AMERITRADE, INC.
TD PRIME SERVICES LLC
TD SECURITIES (USA) LLC
TEACHERS PERSONAL
INVESTORS SERVICES, INC.
TELEMETRY SECURITIES,
L.L.C.
TELSEY ADVISORY GROUP
LLC
TFS DERIVATIVES LLC
THE BAKER GROUP, LP
THE BUCKINGHAM
RESEARCH GROUP
INCORPORATED
THE GMS GROUP, LLC
THE HUNTINGTON
INVESTMENT COMPANY
THE KLEIN GROUP, LLC

THE VERTICAL TRADING
GROUP, LLC
THE WILLIAMS CAPITAL
GROUP, L.P.
THOMAS WEISEL PARTNERS
LLC
THRIVENT DISTRIBUTORS,
LLC
THRIVENT INVESTMENT
MANAGEMENT INC.
TIAA-CREF INDIVIDUAL &
INSTITUTIONAL SERVICES,
LLC
TIBRA TRADING AMERICA
LLC
TICONDEROGA SECURITIES
LLC
TIGRESS FINANCIAL
PARTNERS, LLC
TIMBER HILL LLC
TM CAPITAL CORP.
TMC BONDS L.L.C.
TMT INVESTMENTS, LLC
TORO TRADING LLC
TOUCHSTONE SECURITIES,
INC.
TOWER SQUARE SECURITIES,
INC.
TOWERS WATSON CAPITAL
MARKETS INC.
TOYOTA FINANCIAL SERVICES
SECURITIES USA
CORPORATION
TPG CAPITAL BD, LLC
TRADE INFORMATICS LLC
TRADEBOT SYSTEMS, INC.
TRADELINK L.L.C.
TRADELINK SECURITIES, LLC
TRADESTATION SECURITIES,
INC.
TRADEWEB DIRECT LLC
TRADEWEB L.L.C
TRANSAMERICA CAPITAL,
INC.

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TRANSAMERICA FINANCIAL ADVISORS, INC	USAA FINANCIAL ADVISORS, INC.	WALNUT STREET SECURITIES, INC.
TRANSAMERICA INVESTORS SECURITIES CORPORATION	USAA INVESTMENT SERVICES COMPANY	WEALTHFRONT BROKERAGE LLC
TRANSMARKET GROUP L.L.C.	UVEST FINANCIAL SERVICES GROUP, INC.	WEDBUSH SECURITIES INC.
TRC MARKETS LLC	VALIC FINANCIAL ADVISORS, INC.	WEEDEN & CO.L.P.
TRIAD ADVISORS LLC	VALLEY FORGE ASSET MANAGEMENT, LLC	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC
TRIPLETREE, LLC	VAN ECK SECURITIES CORPORATION	WELLS FARGO CLEARING SERVICES, LLC
TRUIST SECURITIES, INC.	VELOCITY CAPITAL, LLC	WELLS FARGO FUNDS DISTRIBUTOR, LLC
TUDOR PICKERING HOLT & CO ADVISORS LP	VELOCITY CLEARING, LLC	WELLS FARGO INSTITUTIONAL SECURITIES, LLC
TUDOR, PICKERING, HOLT & CO. SECURITIES, LLC	VELOX CLEARING LLC	WELLS FARGO SECURITIES, LLC
TULLETT PREBON FINANCIAL SERVICES LLC	VINING-SPARKS IBG, LIMITED PARTNERSHIP	WESTMINSTER RESEARCH ASSOCIATES LLC
TWEEDY, BROWNE COMPANY LLC	VIRTU AMERICAS LLC	WESTPAC CAPITAL MARKETS LLC
TWO RIVERS TRADING GROUP LLC	VIRTU FINANCIAL BD LLC	WILLIAM BLAIR & COMPANY L.L.C.
TWO SIGMA SECURITIES, LLC	VIRTU FINANCIAL CAPITAL MARKETS LLC	WILLIAMS TRADING LLC
U.S. BANCORP INVESTMENTS, INC.	VIRTU ITG LLC	WILLIS SECURITIES, INC.
UBS ASSET MANAGEMENT (US) INC.	VIRTUS CAPITAL MARKETS LLC	WILMINGTON BROKERAGE SERVICES COMPANY
UBS FINANCIAL SERVICES INC.	VISION FINANCIAL MARKETS LLC	WILSON-DAVIS & CO., INC.
UBS FINANCIAL SERVICES INCORPORATED OF PUERTO RICO	VISTA POINT ADVISORS, LLC	WOLVERINE EXECUTION SERVICES, LLC
UBS SECURITIES LLC	VOLANT LIQUIDITY, LLC	WOLVERINE SECURITIES, LLC
UMB FINANCIAL SERVICES, INC.	VOYA FINANCIAL ADVISORS, INC.	WOLVERINE TRADING, LLC
UMPQUA INVESTMENTS, INC.	VOYA FINANCIAL PARTNERS, LLC	WOODBURY FINANCIAL SERVICES, INC.
UNICREDIT CAPITAL MARKETS LLC	VOYA INVESTMENTS DISTRIBUTOR, LLC	WOODROCK SECURITIES, L.P.
UNITED BROKERAGE SERVICES, INC	VOYA RETIREMENT ADVISORS, LLC	WORLD GROUP SECURITIES, INC.
UNITED CAPITAL MARKETS, INC.	VP DISTRIBUTORS LLC	WSA FIXED INCOME, LLC
UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	VTB CAPITAL INC.	X-CHANGE FINANCIAL ACCESS, LLC
	WACHTEL & CO., INC.	XAMBALA CAPITAL, LLC
	WADDELL & REED, INC.	XP INVESTMENTS US, LLC
	WAKE USA LLC	XR SECURITIES LLC
	WALL STREET ACCESS	XTX MARKETS LLC
	WALLEYE TRADING LLC	

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ZEBED SECURITIES, LLC
ZELMAN PARTNERS, LLC
ZEUS FINANCIAL, LLC
ZIONS DIRECT, INC.
ZIV INVESTMENT COMPANY