

From: [Jon Curtis](#)
To: [Comments](#)
Subject: Docket 034
Date: Wednesday, December 11, 2013 6:46:11 PM

Hi,

I am Jonathan Curtis and Chief Compliance Officer for Bridge Street Securities, LLC (CRD# 152109). Bridge Street is a boutique M&A advisory firm – we maintain no customer accounts, do not receive funds on behalf of customers, and only deal with corporate clients. Yet we still have to endure costly compliance procedures and an annual audit with fees that are only going up! In my opinion, we are a “low risk” firm...but in many ways we are treated just the same as JPMorgan, Goldman Sachs, etc.

This email is to let you know that **I support Lisa Roth’s position** to exempt non-custodial, non public BDs from the PCAOB constituency.

Regards,
Jon

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